

# Comparative Studies of Iterative Learning Control Schemes for A Batch Chemical Process \*

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## OVERVIEW

- 1 Motivations
- 2 Batch Process Model
- 3 Learning Control Schemes
- 4 Simulation Illustrations
- 5 Observations
- 7 Concluding Remarks

# 1 Motivations

Could the BATCH property of a *batch process* be utilized for a better control performance?

What is iterative learning control (ILC)

*learning is a bridge  
between knowledge and experience*

- **knowledge**: modeling, environment, and related uncertainties
- **experience**: repetitive operations, previous { control efforts, resulting errors }

**OBJECTIVE of ILC**: to utilize the system repetitions as the *experience* to improve the system control performance even under incomplete *knowledge* of the system.

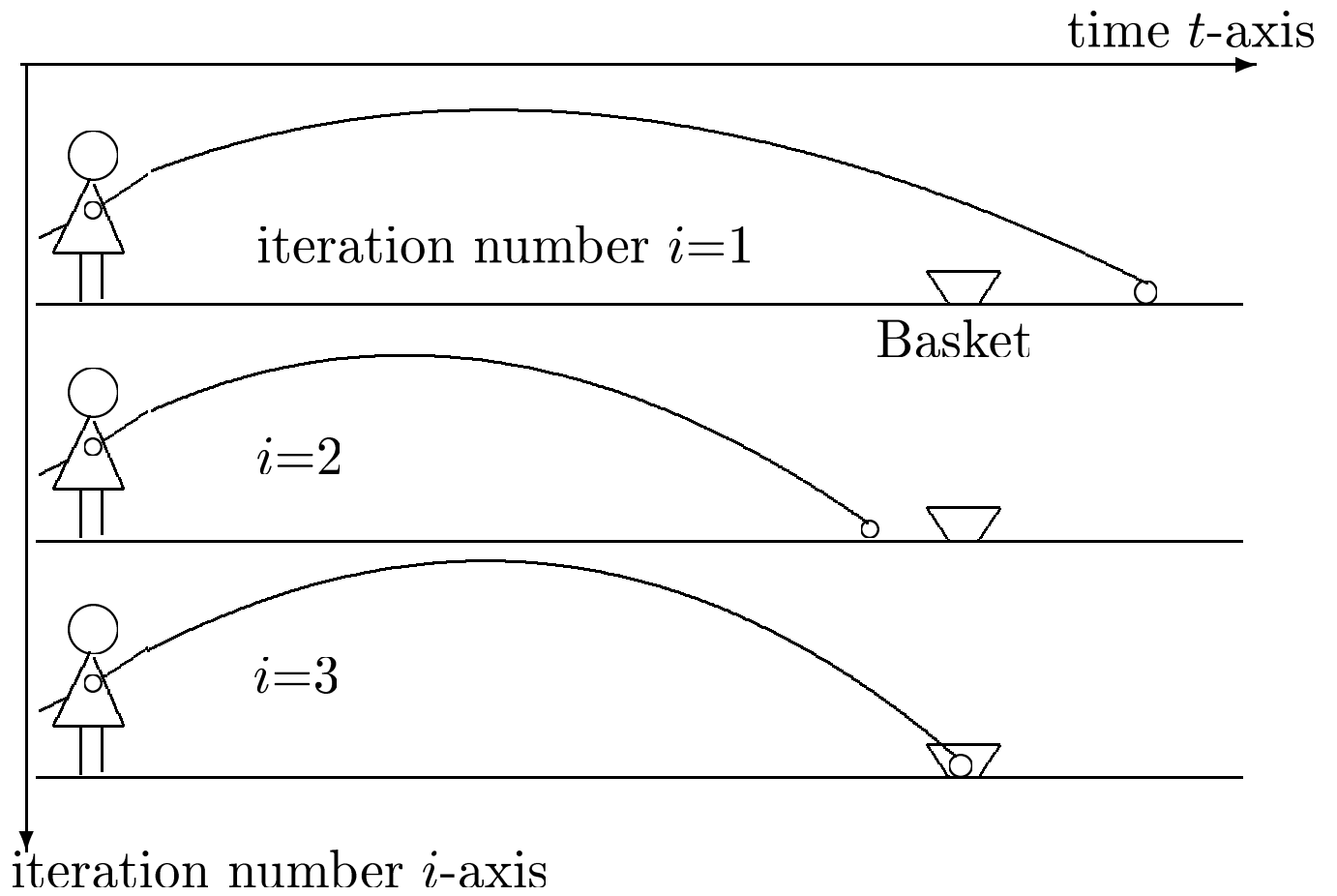


Figure 1: Illustration of *Iterative Learning*

***Postulates(Arimoto et al. 1984):***

- P1.** Every trial (pass, cycle, batch, iteration, repetition) ends in a fixed time of duration  $T > 0$ .
- P2.** A desired output  $y_d(t)$  is given *a priori* over  $[0, T]$ .
- P3.** Repetition of the initial setting.  $x_k(0) = x^0$ , for  $k = 1, 2, \dots$ .
- P4.** Invariance of the system dynamics.
- P5.**  $y_k(t)$  measurable. Tracking error  $e_k(t) = y_d(t) - y_k(t)$  can be utilized to form  $u_{k+1}(t)$ .
- P6.** Invertability. For a given  $y_d(t)$ ,  $\exists$  a unique  $u_d(t)$  that drives the system to produce the  $y_d(t)$ .

*Simple D-type ILC Scheme  
(Arimoto et al. 1984):*

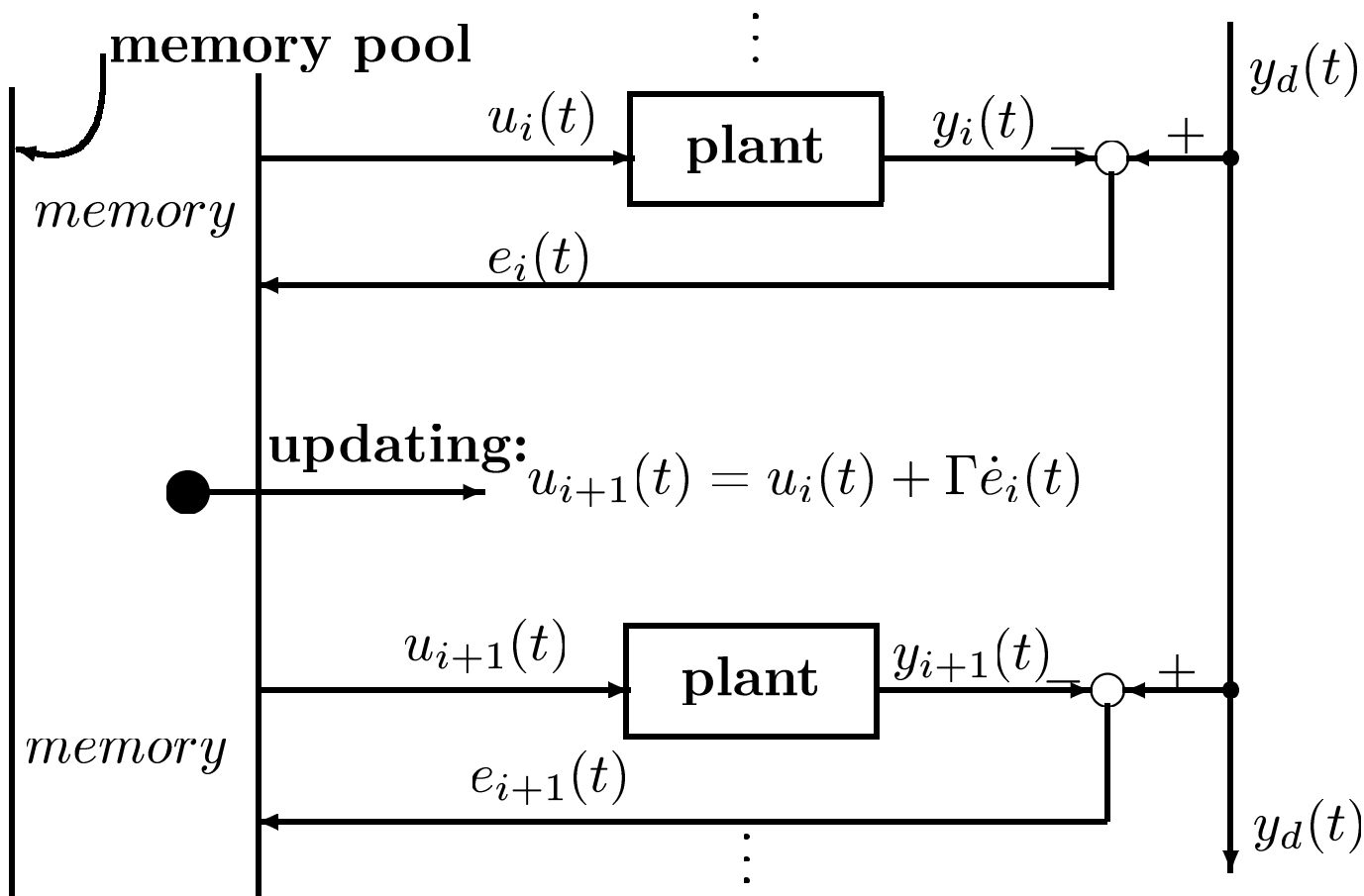


Figure 2: Block-Diagram of *Iterative Learning Control*

*Control Task:* to find a **recursive** control

law

$$u_{k+1}(t) = \mathbf{F}(u_k(t), e_k(t), u_{k-1}(t), e_{k-1}(t), \dots, u_{k-N+1}(t), e_{k-N+1}(t), e_{k+1}(t)) \quad (1)$$

and a function norm  $\|\cdot\|$  such that  $\|e_k(t)\|$  vanishes as  $k$  tends to infinity.

- High-order Scheme;
- D-type or P-type;
- ...

Problems to consider:

- convergence
- robustness
- ...

*Stability (or convergence):*

For an ILC updating law (1), to guarantee that for a certain function norm of *tracking error*  $\|e(\cdot)\|$

$$\|e_{k+1}\| \leq \|e_k\|, \text{ for } k = 1, 2, \dots \quad (2)$$

or, in a stronger sense,

$$\|e_{k+1}\| \leq \theta \|e_k\|, \text{ for } k = 1, 2, \dots \quad (3)$$

with a constant  $\theta \in (0, 1)$ .

Norms used:

$$\|f\| = \max_{1 \leq i \leq n} |f_i|,$$

$$\|G\| = \max_{1 \leq i \leq m} \left( \sum_{j=1}^n |g_{i,j}| \right),$$

$$\|h(t)\|_\lambda = \sup_{t \in [0, N]} e^{-\lambda t} \|h(t)\|,$$

where  $f = [f_1, \dots, f_n]^T$  is a vector,  $G = [g_{i,j}]_{m \times n}$  is a matrix and  $h(t)$  ( $t \in [0, N]$ ) is a real function.

**Robustness:** relaxed **P1 - P6**.



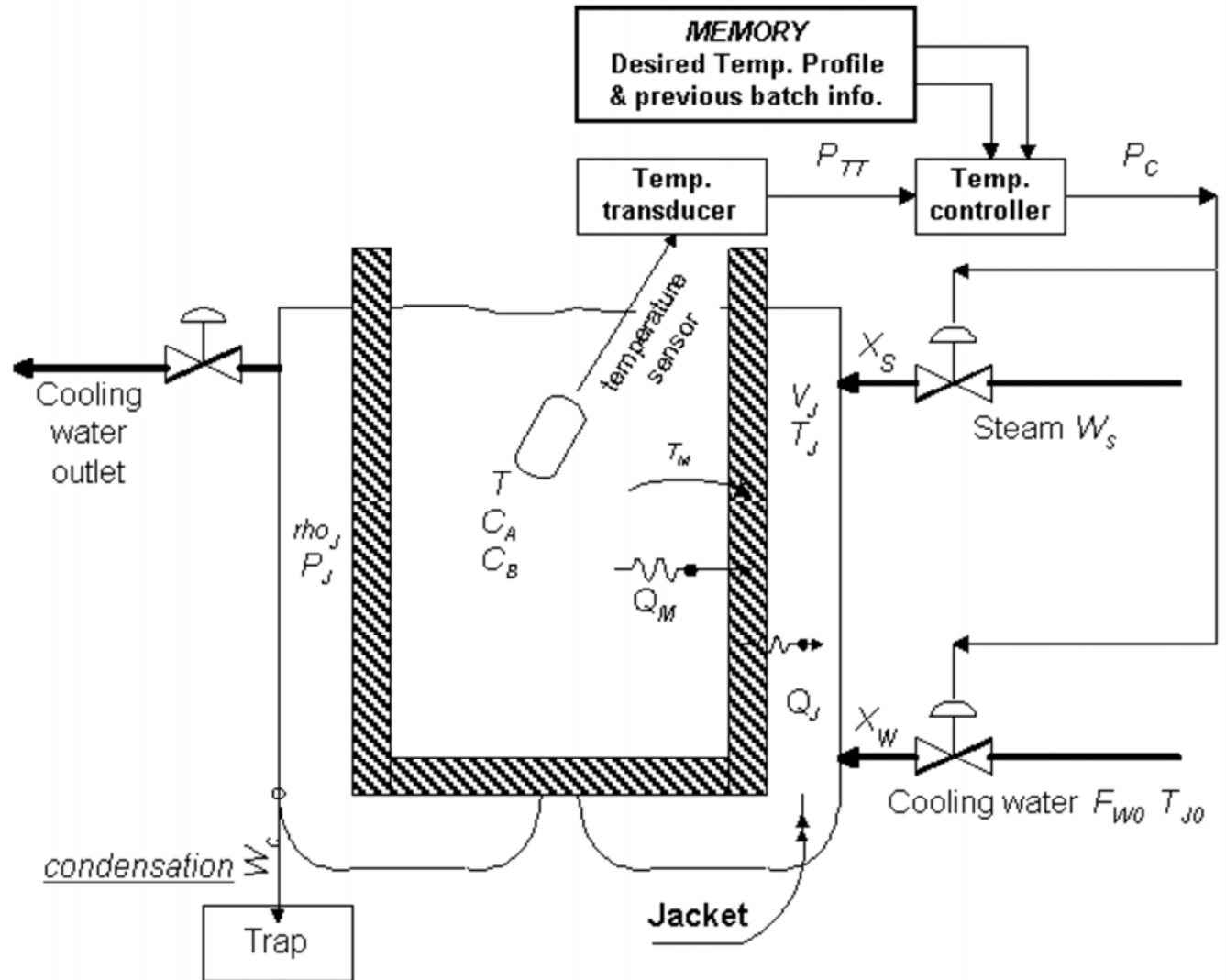


Figure 3: A Chemical Batch Reactor

## 2 Batch Reactor Model

Block diagram:

### Model:

The reaction equations are given as follows:

$$\left\{ \begin{array}{l} \frac{dc}{dt} = -a_1 k_d c + Q' \\ \frac{dx_M}{dt} = -a_2 k_p \sqrt{\frac{2\eta k_d}{k_t}} \sqrt{c} x_M \\ \frac{dp}{dt} = a_3 \eta k_d c \\ \frac{dT}{dt} = H_r \frac{dx_M}{dt} + Q \end{array} \right. \quad (4)$$

where  $c$ ,  $x_M$  and  $p$  are the concentrations of initiator, monomer and polymer respectively;  $T$  is the temperature inside the reactor ( °K ).  $Q'$  is assumed to be 0 which means that no additional initiator is added during the reaction, i.e., all reactants have been filled in the reactor at the beginning. Reaction rate constants  $k_i, i \in \{d, p, t\}$  are functions of  $T$  where  $d, p, t$  represent the phases of beginning, growing and stopping.

$$k_i = k_{i0} \exp\left(-\frac{E_i}{RT}\right), \quad i = d, p, t. \quad (5)$$

## Challenges:

- track a given trajectory (pattern) in a finite time (batch duration) interval.
- in a point-wise manner

## *Iterative Learning Control,*

- utilize the system's repetition to compensate or reject uncertainties and disturbances and hence able to track the prescribed trajectory in a finite interval.
- the control efforts of the current batch incorporate the control efforts and tracking errors of the previous batch.

### 3 Learning Control Schemes

- $D$ -type ILC
- High-order ILC
- P-type plus feedback controller
- P-type CITE: current iteration tracking error

D-type Iterative Learning Scheme

$$Q_{i+1} = Q_i + K_d \dot{e}_i(t), \quad \dot{e}_i(t) \triangleq \dot{T}_d - \dot{T}_i \quad (6)$$

where  $K_d$  is the learning gain which is to be properly chosen such that  $e_i \rightarrow 0$  as  $i \rightarrow \infty$ . The convergence condition is that

$$\|1 - CBK_d\| < 1 \quad (7)$$

where  $B$  and  $C$  are input distribution matrix and output matrix respectively. In (4), the system input is  $Q$  and output is  $T$ , hence  $CB = 1$ .  $K_d$  should be selected to satisfy the condition  $|1 - K_d| < 1$ .

## High-order Iterative Learning Scheme

### WHY HIGH-ORDER?

#### The First-order ILC

Consider Suguru Arimoto's original ILC updating law

$$u_{k+1}(t) = u_k(t) + \Gamma \dot{e}_k(t) = \Gamma \sum_{j=0}^k \dot{e}_j(t)$$

along the ILC iteration number  $k$  direction, which is in an integral (I) controller form.

## The Third-order ILC

Considering the PID form

$$u_{k+1}(t) = k_P \dot{e}_k(t) + k_I \sum_{j=0}^k \dot{e}_j(t) + k_D (\dot{e}_k(t) - \dot{e}_{k-1}(t))$$

will result in the following form of the ILC updating law

$$u_{k+1}(t) = u_k(t) + \Gamma \dot{e}_k(t) + \Gamma_1 \dot{e}_{k-1}(t) + \Gamma_2 \dot{e}_{k-2}(t).$$

where  $\Gamma = k_P + k_I + k_D$ ,  $\Gamma_1 = -k_P - 2k_D$  and  $\Gamma_2 = k_D$ . This is a high-order iterative learning controller.

WE CAN SAY:

High-order ILC can be better than the first-order ILC, i.e., better ILC convergence performance can be achieved by High-order ILC.

In general, an  $N$ -th order D-type ILC updating law is

$$Q_{i+1} = Q_i + \sum_{j=1}^N K_{d_j} \dot{e}_{i-j+1}(t) \quad (8)$$

where the learning gains should satisfy that the roots of (9) are inside the unit circle.

$$(1 - CBK_{d_1})z^{-1} - \sum_{j=2}^N CBK_{d_j}z^{-j} = 0 \quad (9)$$

where  $z$  is one step shifting operator. A sufficient condition is given by

$$|1 - CBK_{d_1}| + \sum_{j=2}^N |CBK_{d_j}| < 1. \quad (10)$$



P-type Iterative Learning Feedback Control

$$\left\{ \begin{array}{l} Q_i(t) = Q_i^{ff}(t) + Q_i^{fb}(t) \\ Q_i^{fb}(t) = K_p e_i(t) \\ Q_i^{ff}(t) = Q_{i-1}(t) + K_{pl} e_{i-1}(t) \\ \phantom{Q_i^{ff}(t)} = Q_i^{ff}(t) + (K_p + K_{pl}) e_{i-1}(t) \end{array} \right. \cdot \quad (11)$$

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## P-type ILC with CITE

Consider the PI-controller in the ILC iteration direction as follows:

$$Q_i(t) = k'_I \sum_{j=0}^i e_j(t) + k'_P e_i(t). \quad (12)$$

Writing (12) in an iterative form, we have

$$\begin{aligned} Q_i(t) &= Q_{i-1}(t) + k'_I e_i(t) + k'_P (e_i(t) - e_{i-1}(t)) \\ &= Q_{i-1}(t) + K_p e_i(t) + K_{pl} e_{i-1}(t) \end{aligned} \quad (13)$$

where  $K_p = k'_I + k'_P$ ,  $K_{pl} = -k'_P$ . Updating law (13) is called as the *ILC with Current Iteration Tracking Error (CITE)*.

## 4 Simulation Results

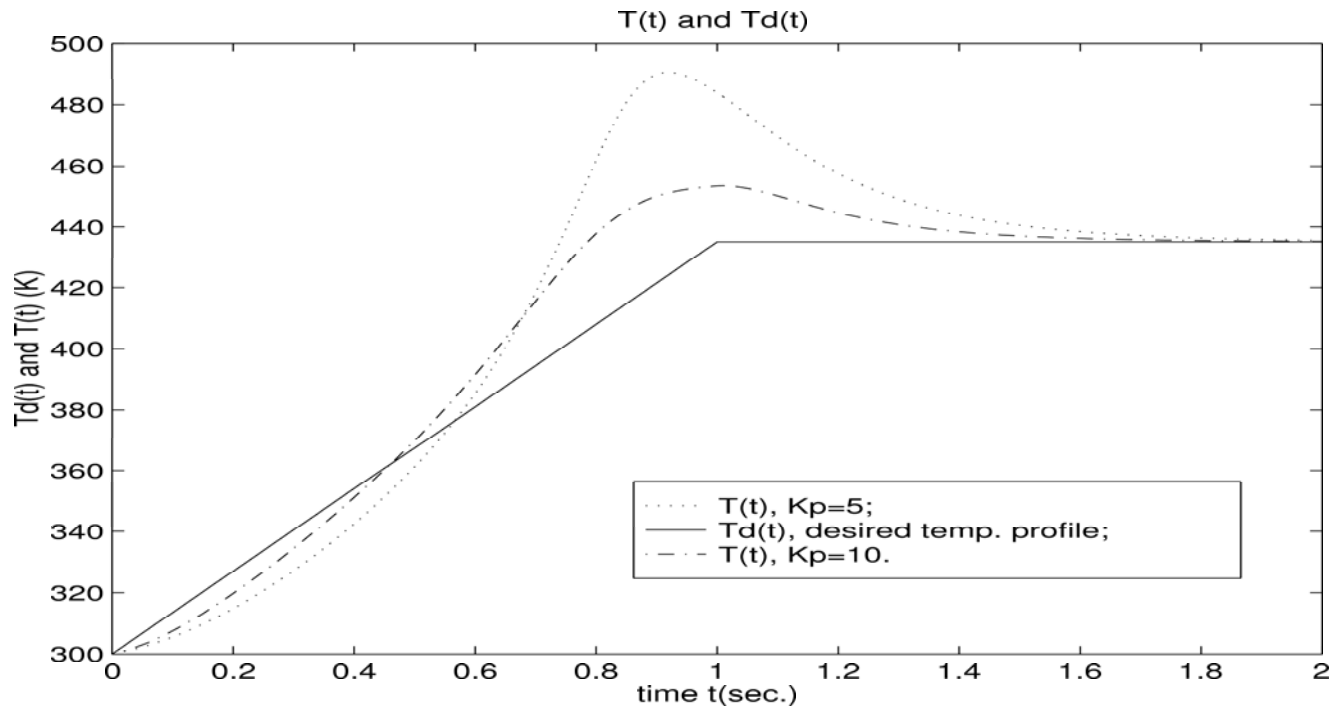
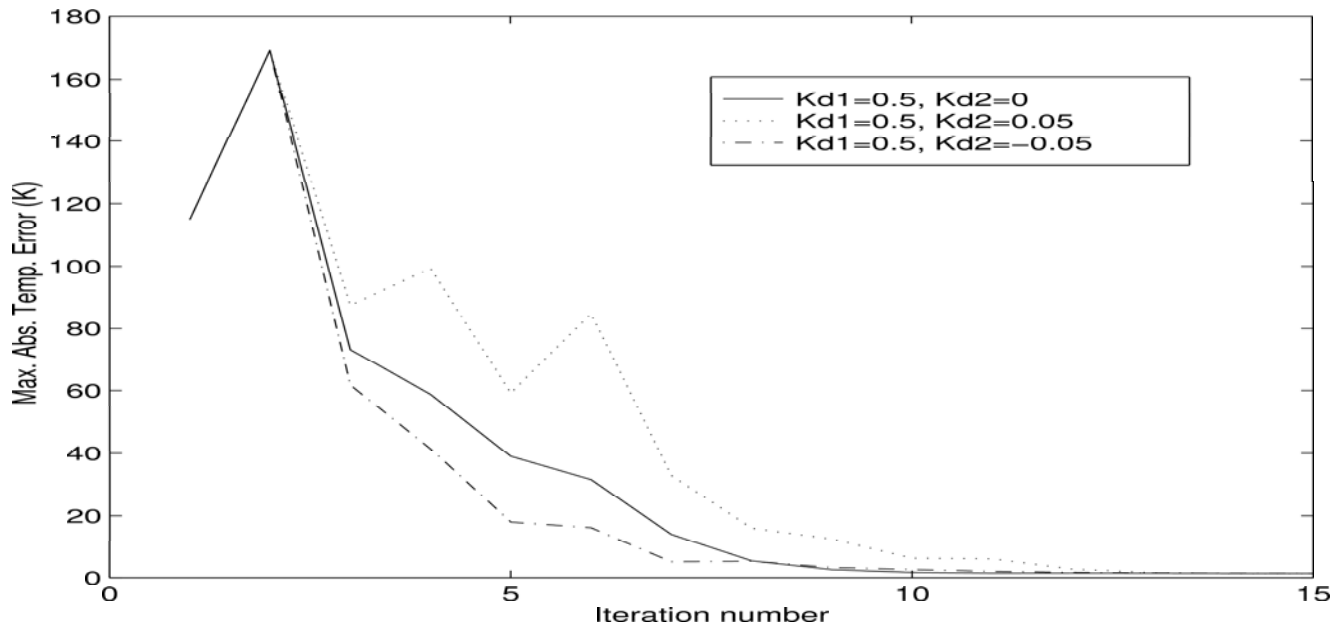
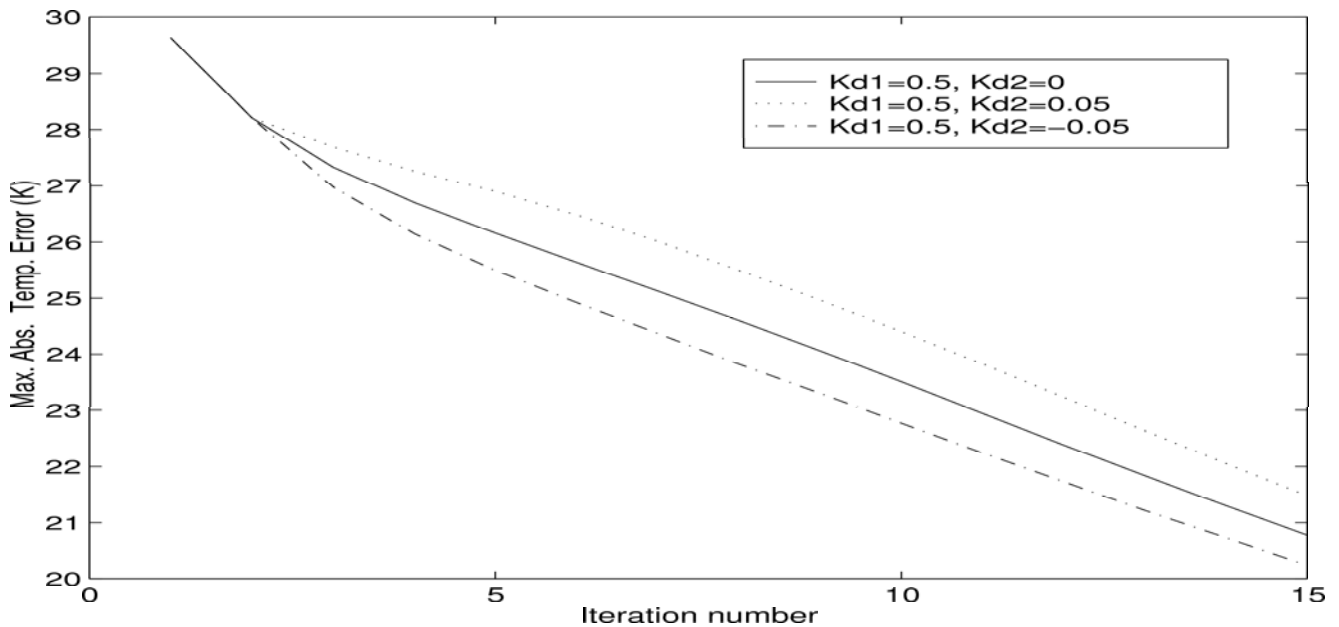


Figure 4: Responses for simple P feedback controllers

# SIMULATION RESULTS



(a)  $K_p = 0.0$  (D-type ILC only) (D-I scheme)



(b)  $K_p(t) = 20$  (PD-I scheme)

Figure 5: Convergence comparisons for D-type ILC schemes

# SIMULATION RESULTS

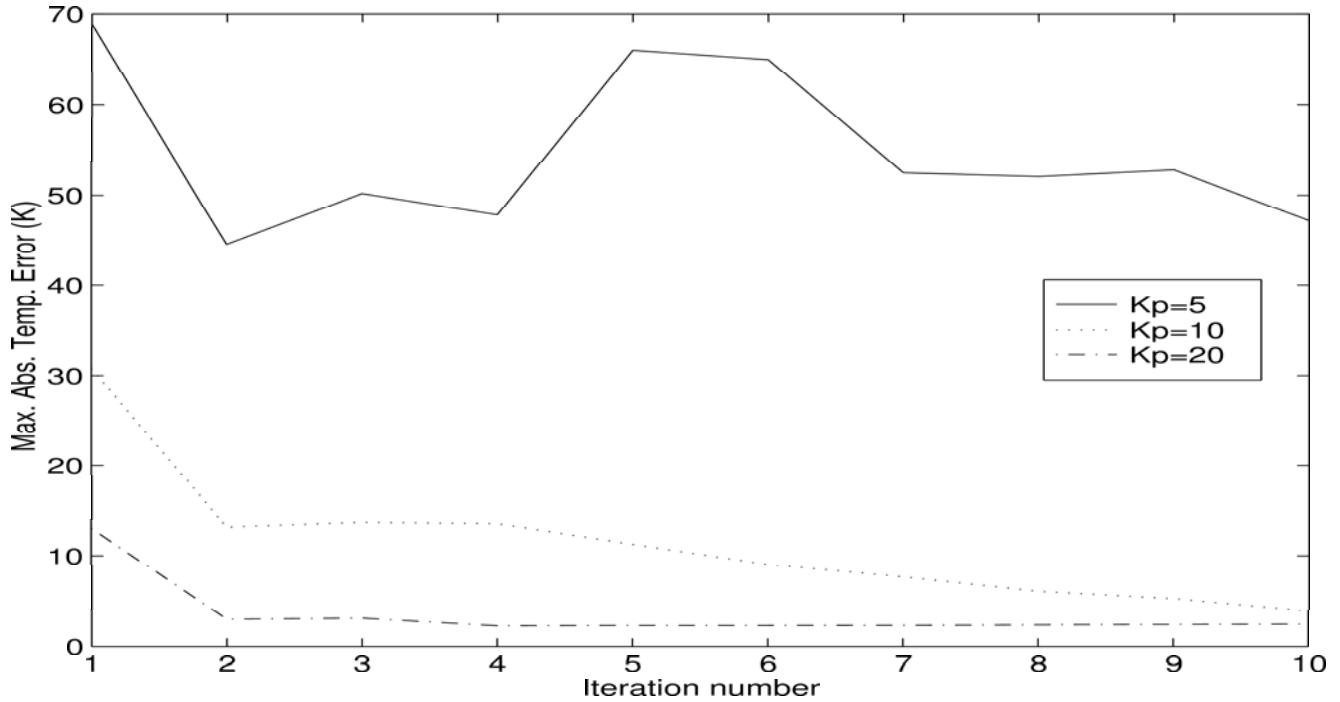


Figure 6: Convergence comparisons for P-I type (ILC+CITE) schemes

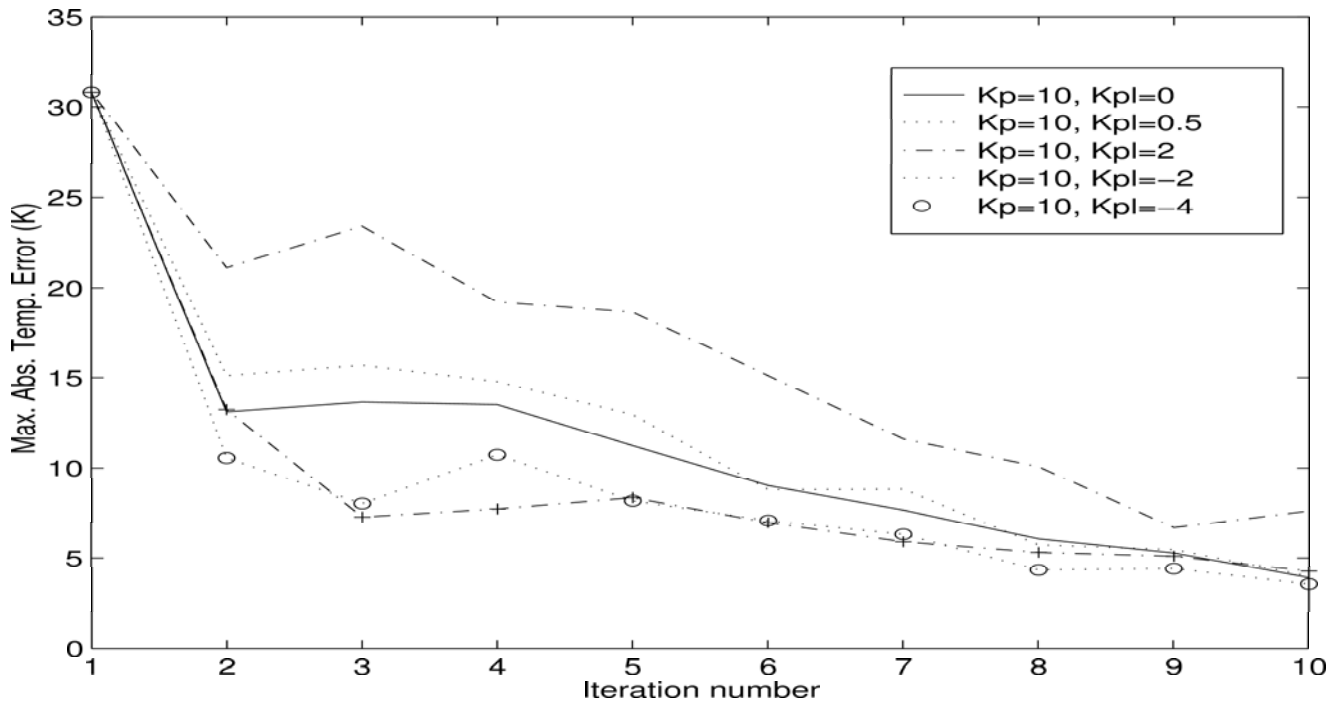


Figure 7: Convergence comparisons for P-PI type (ILC+CITE) schemes

# SIMULATION RESULTS

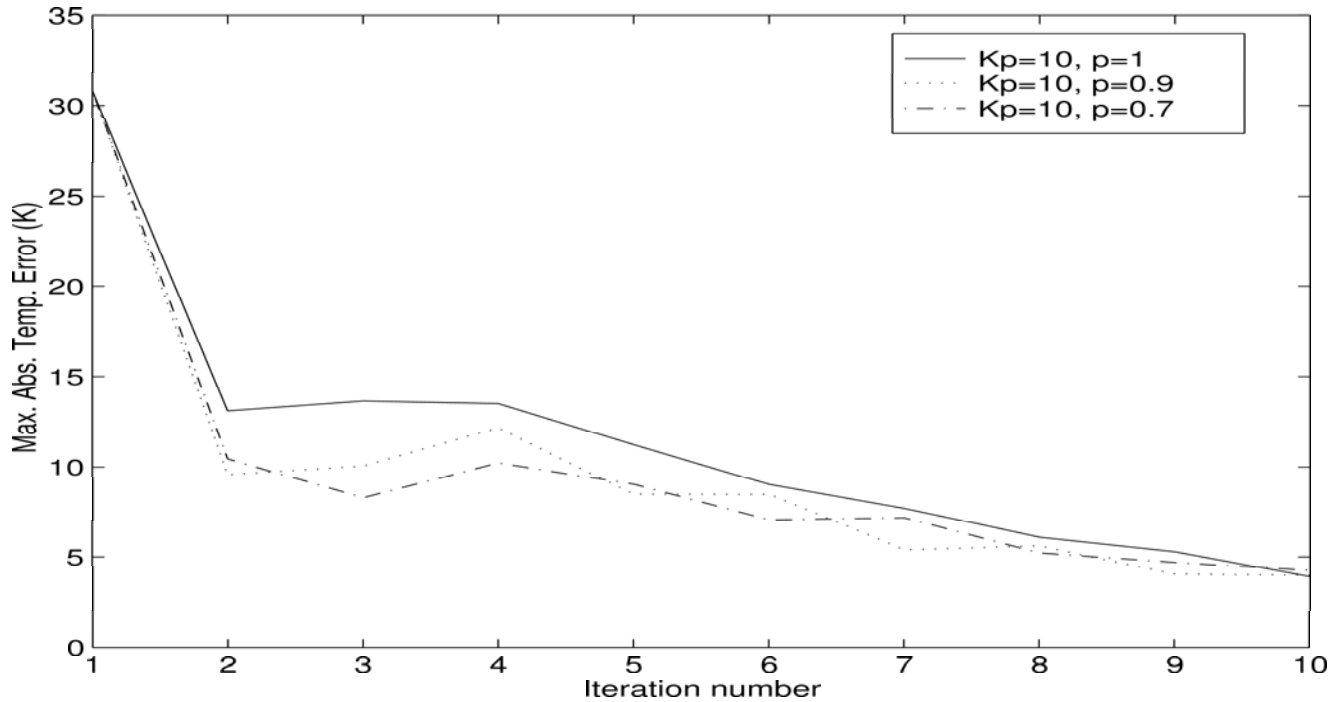


Figure 8: Convergence comparisons for P-type scheme

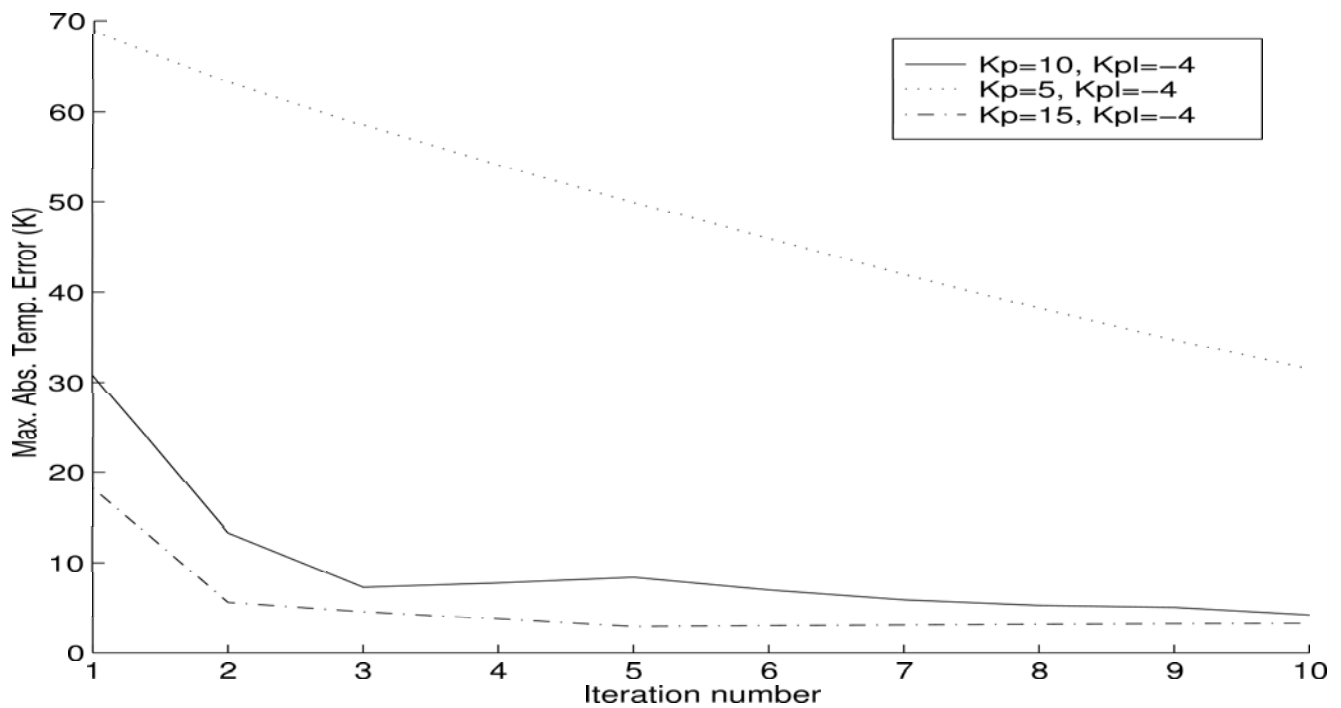


Figure 9: Convergence comparisons for P-PI type (ILC+CITE) schemes

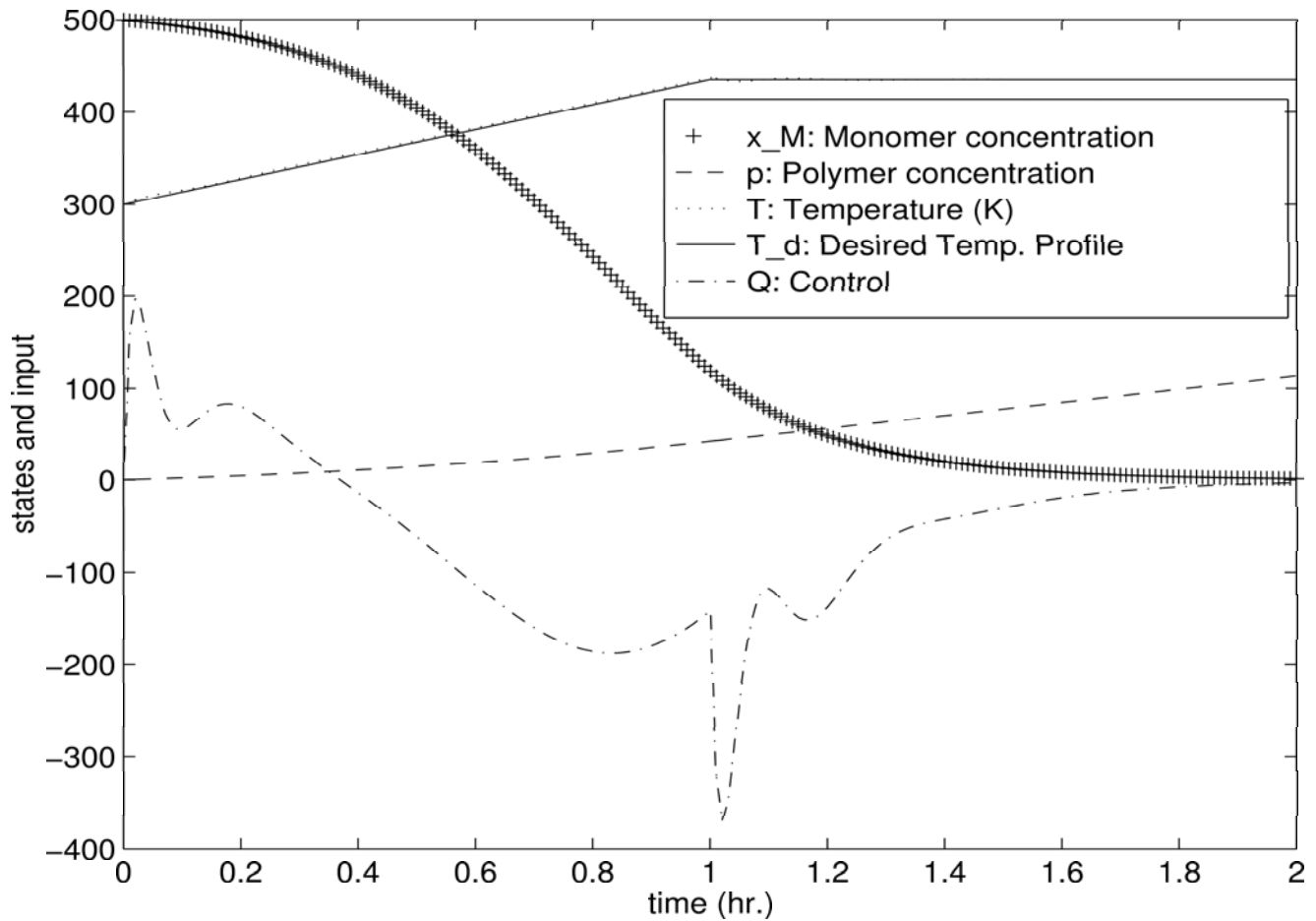


Figure 10: Converged system states and input (at the 10th ILC iteration)



## 5 Observations

- Suitably designed feedback controller is helpful to ILC convergence;
- Higher-order ILC can be better than conventionally used first-order one;
- P-type is effective.

Among the proposed schemes, the CITE P-type scheme is the most preferable due to its simplicity and effectiveness.

# 6 Concluding Remarks

- Iterative Learning Control is shown to be applicable to Batch Process Control.
- Simulations performed for illustration.

Future work:

- validation using a pilot plant.
- exploring various learning gain-tuning methods.
- convergence analysis under practical limits.
- ...

THANK YOU!

COMMENTS PLEASE ...

DON'T FORGET TO VISIT OUR **ILC**-related web page:

<http://www.ee.nus.sg/~yangquan/project.html>