



# **Cognitive Process Control**

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CA4-120, Lam Research March 13th, 2012. Tuesday 4:00-5:00



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## Outline

- Introduction CSOIS and Research Strength
- Cognitive Process Control A New Framework
- Potential Contributions from CSOIS to Lam Research
  - Jitter Margin Accommodation
  - Undistortion Technique
  - Iterative-Variant Uncertainties in R2R Controls
  - Fractional Order Modeling/Controls
  - New Ideas in Virtual Metrology/Outlier Modeling
  - MIMO Robust Control and Performance Monitoring



#### **Utah State University**

Located in Logan, Utah, USA 80 miles North of Salt Lake City





**25,767** students study at USU nestled in the Rocky Mountains of the inter-mountain west

CSOIS is a research center in the Department of Electrical and Computer Engineering

AND INTELLIGENT SYSTEM





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#### **CSRA Research:**

#### **Center for Self-Organizing and Intelligent Systems**

- CSOIS is a research center in USU's Department of Electrical and Computer Engineering that coordinates most CSRA (Control Systems, Robotics and Automation) research
- Officially Organized 1992 Funded for 7 (seven) years by the **State of Utah's Center of Excellence Program (COEP)**
- Horizontally-Integrated (multi-disciplinary)
  - Electrical and Computer Engineering (Home dept.)
  - Mechanical Engineering
  - Computer Science
- Vertically-integrated staff (20-40) of faculty, postdocs, engineers, grad students and undergrads
- Average over \$2.0M in funding per year from 1998-2004
- Three spin-off companies from 1994-2004.





#### **CSOIS** Core Capabilities and Expertise

- Control System Engineering
  - Algorithms (Intelligent Control)
  - Actuators and Sensors
  - Hardware and Software Implementation
- Intelligent Planning and Optimization
- Real-Time Programming
- Electronics Design and Implementation
- Mechanical Engineering Design and Implementation
- System Integration

#### We make real systems that WORK

#### and others want them!

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#### **CSRA/CSOIS** Courses

- Undergraduate Courses
  - MAE3340 (Instrumentation, Measurements); ECE3640 (Laplace, Fourier)
  - MAE5310/ECE4310 Control I (classical, state space, continuous time)
  - MAE5620 Manufacturing Automation
  - ECE/MAE5320 Mechatronics (4cr, lab intensive) (Sp2012) (Sp2013)
  - ECE/MAE5330 Mobile Robots (4cr, lab intensive) (Fall 2011) (Fall 2012)
- Basic Graduate Courses
  - MAE/ECE6340 Spacecraft attitude control
  - ECE/MAE6320 Linear multivariable control (Fall 2011) (Fall 2012)
  - ECE/MAE6350 Robotics (TOD)
- Advanced Graduate Courses
  - ECE/MAE7330 Nonlinear and Adaptive control (Spring 2012)
  - ECE/MAE7350 Intelligent Control Systems (TOD)
  - ECE/MAE7360 Robust and Optimal Control (Fall 2011) (Fall 2013)
  - ECE/MAE7750 Distributed Control Systems (Fall 2012)

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# Selected CSOIS Research Strengths

- ODV (omnidirectional vehicle) Autonomous Robotics
- Iterative Learning Control Techniques
- Currently:
  - MAS-net (mobile actuator and sensor networks) and Cyber-Physical Systems (CPS)
  - Smart Mechatronics, Multi-UAV-Based Collaborative Personal Remote Sensing, Multispectral Imager;
  - Cooperative Control; Formation Control; Information Consensus; Engineered Swarms
  - Fractional Dynamic Systems, Fractional Order Signal Processing and Fractional Order Control/Modeling

- Crowd dynamics and evacuation control with IwDs 3/13/2012 CPC @ Lam Research





# Current Research Sponsors (11/2011)

- **Samsung:** Fractional order control of HDDs
- NSF: Personal remote sensing New Zealand
- **DOE:** Automatic Electrical Transportation
- UWRL: UAV PRS, payloads for precision ag.
- NASA: UAV Airworthiness for UAS2NAS
- **SDL:** MPPT for satellite PV solar panels
- NIDRR: Evacuation study of crowds with IwDs

(Total more than \$1M for now. **2011 expenditure**: \$367K) 3/13/2012 CPC @ Lam Research Slide-9 of 1024





# **CSOIS** Members (=30, Spring 2012)

- 1 Faculty (Dr. YangQuan Chen)
- 7 Ph.D. Students
  - Cal Coopmans (S10) | Austin Jensen (S10) | Hadi Malek (F10) | Jinlu Han (F09) | Brandon Stark (F10) | Zhuo Robin Li (F11), Daniel Stuart (F09)
- 3 Master Students
  - Pooja Kavathekar (F10); David Nathan Hoffer (MAE F11); David Cornelio (F11)
- 9 Undergraduate Students
  - Aaron Dennis (EE); Aaron Quitberg (MAE); Joseph J. Montgomery (EE); Chris Coffin (CS, URCO) Jeremy Frint (MAE); Jacob Vanfleet (CS), Brandon Willis (MAE), Jarret Bone (MAE, URCO), Steven Morales (CS)

#### • 4 Visiting Professors

- Prof. Kecai Cao; Prof. Xuefeng Zhang; Prof. Igor Podlubny; Prof. Aiming Ge

#### • 6 Exchange Graduate Students

- 2 MS: Kaplanek, Johannes; Michal Podhradský
- 4 PhD: Sara Dadras; Caibing Zeng; Chun Yin; Yaojin Xu;





#### **Some Robots Built At USU**













#### CSOIS spinoff companies: ASI / VPI



#### Slide-11/1024 ODIS On Duty in Baghdad











"Putting Robots in Harm's Way, So People Aren't"



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## OSAM UAV Team won 2<sup>nd</sup> @ AUVSI UAS Competition, June 2008



**Utah State** – Wins \$8,000 for **2nd Place Overall, 2nd Place in Mission**, Honorable Mention in both Orals and Journal, and Prize **Barrels for Autonomous Mission Flight**, Autonomous Landing, **JAUS** and **Perfect Identification of the Off-**Path Target.

http://www.engr.usu.edu/wiki/index.php/OSAM



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- We won #1 in AUVSI 2009 UAS Competition!!
  - June 17-21, 2009. Maryland AFB.
  - \$14000 cash award.
  - Other registered participants: UCSD, MIT, Cornell, NCSU etc.
  - We made some headlines including ESPN2!
  - We are the second time to participate this event!
  - UCSD, Embry Riddle, Cornell, U Alberta, UT Austin.

Watch us at

#### http://www.youtube.com/user/USUOSAM



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- We won #1 again in AUVSI'11 UAS Competition!!
  - June 15-19, 2011. Maryland AFB.
  - \$13400 cash award. Swept all three categories.
  - Other registered participants: UCSD, NCSU, UT Austin etc.
  - First time in the competition history to win 1<sup>st</sup> place twice.
  - VTOL team made history too by flying waypoints autonomously

# Watch our UAV-based real world applications (not just for fun!) at http://aggieair.usu.edu





Prototype plume-tracking testbed - 2004

#### \$2000 2<sup>nd</sup> Place Prize in 2005 Crossbow Smart-Dust Challenge

ross

SECOND PLACE Feb. 11, 2005



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WaterWatch?



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#### Some "bragging rights" of CSOIS • http://www.hub.sciverse.com/action/search/results?st=%22f

- http://www.hub.sciverse.com/action/search/results?st=%22f ractional+order%22
- http://www.hub.sciverse.com/action/search/results?st=uav+r emote+sensing
- http://www.hub.sciverse.com/action/search/results?st=iterati ve+learning+control
- http://www.hub.sciverse.com/action/search/results?st=fracti onal (over 1.1M docs, #5)
- http://www.hub.sciverse.com/action/search/results?st=%22f ractional+processes%22
- http://www.hub.sciverse.com/action/search/results?st=mobil 3/13/2012actuator+network



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#### Process Control

From "Supervisory Control (SC)" to "Statistic Process Control (SPC)" to "Cognitive Process Control"

- Enablers
  - Cheaper embedded wireless radio communication
  - Large memory/storage at low cost
  - Larger processing power of microprocessors
  - Richer model-based derived information





# What are considered as "Cognitive"?

- Aware of process vital signs for healthy runs
  Not only in process level, but also in component level
- Decision making and health issues alerting using multiple information sources
- Learning from past actions and induced errors
   R2R, RC, ILC
- Pattern discovery and anomalous behavior detection at multiple time scales
- Virtual metrology for "Cognitive Process Control" 3/13/2012 CPC @ Lam Research



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Su etal. "Control relevant issues in semiconductor manufacturing: Overview with some new results." Control Engineering Practice 15 (2007) 1268–1279



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Cognitive Process Control: Where? When?



#### Keys:

M:metrology, VM:virtual metrology, MSet:metrology setpoint FB:feedback, FF:feedforward

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#### Jitter Margin



 $\Delta m(t) = m(t - \delta(t)) \text{ s.t. } 0 \le \delta(t) \le \delta_{max},$ 

$$\| G_{nm} \|_{L_2} = \sup_{\omega \in [0,\infty]} \left| \frac{G(j\omega)C(j\omega)}{1 + G(j\omega)C(j\omega)} \right| < \frac{1}{\delta_{max}\omega}.$$



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# Related works

- Varsha Bhambhani+, YangQuan Chen\*, Dingyu Xue. Optimal Fractional Order Proportional Integral Controller for Varying Time-Delay Systems. *In Proceedings of the IFAC World Congress*, Seoul, Korea, July 2008,
- Varsha Bhambhani+, Yiding Han+, Shayok Mukhopadhyay+, Ying Luo+ and YangQuan Chen\*. Random delay effect minimization on a hardware-in-the-loop networked control system using optimal fractional order PI controllers. *In Proc. of the 3rd IFAC Workshop on Fractional Derivative and Applications (FDA08)*, Ankara, Turkey, Nov. 2008.
- Varsha Bhambhani\*. "Optimal Fractional Order Proportional and Integral Controller for Processes with Random Time Delays." Master of Science Thesis of Utah State University, 2008.

http://digitalcommons.usu.edu/etd/246 CPC @ Lam Research



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# Undistortion Technique

• Detection, Identification and Compensation of **Nonlinearities** 

UNIVERSITY





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#### The Fractional Horsepower Dynamometer



#### Rapid Testing and Prototyping of Nonlinear Controllers



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# Nonlinearity Detection: Motivation

Consider a position control system with nonlinear sensor ( $y=x+\alpha x^3$ ).





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## Nonlinearity Detection: Motivation

#### The basic idea of static nonlinearity compensation:



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# Nonlinearity Detection Method

Higher-Order Statistics (HOS)

- First and second order statistics (mean, autocorrelation, power spectrum) are useful in describing linear process only.
- Presence of nonlinearity in system causes interaction of different frequencies [Fackrell 1996].
- HOS tools like bispectrum and bicoherence can be used to analyze nonlinear process data.
- HOS can be used for:
  - 1. detecting deviations due to Gaussianity,
  - 2. identifying true phase character of the signals,

3. detecting and identifying nonlinearities in time series. [NikiasPetropulu93] Slide-32 of 1024





## Nonlinearity Detection Method

Higher-Order Statistics (HOS)

• Bispectrum:

$$B(f_1, f_2) \equiv E[X(f_1)X(f_2)X^*(f_1 + f_2)]$$

Indicates the interaction between frequencies  $f_1$  and  $f_2$ .

• Bicoherence:

$$bic^{2}(f_{1}, f_{2}) \equiv \frac{|B(f_{1}, f_{2})|^{2}}{E[|X(f_{1})X(f_{2})|^{2}]E[|X(f_{1}+f_{2})|^{2}]}$$

Describes the phase and power coherence at the coupled frequency  $(f_1, f_2)$ . [ChoudhuryShahThornhill2004]





## Nonlinearity Detection Method Nonlinearity Index (NLI)

• For linear signals, the squared bicoherence is a constant in the bifrequency plane. The flatness of squared bicoherence plot can be checked by

$$NLI \equiv |\hat{bic}_{\max}^2 - (\overline{\hat{bic}}^2 + 2\sigma_{\hat{bic}^2})|.$$

*NLI* should ideally be zero for linear signals.

For practical purposes signals with *NLI* value less than 0.01 can be considered linear. [ChoudhuryShahThornhill2004]





# Related publications

- 2006. Yashodhan Tarte. "Detection, Identification, and Compensation of Nonlinearities and an Experimental Verification Platform for Nonlinear Controllers", Master of Science Thesis.
- Tarte, Yashodhan+ and YangQuan Chen\*. "Wiener System Identification with Four-Segment and Analytically Invertible Nonlinearity Model". *Proc. of the 2007 American Control Conference*, July 11-13, 2007, Marriott Marquis Hotel at Times Square, New York City, USA.
- YangQuan Chen\*, Yashodhan Tarte+. "Sensor Undistortion Using Hyperbolic Splines in Least Squares Sense," June 14-16, 2006, Minneapolis, Minnesota, *American Control Conf.*, pp. 2987-2988.
- Yashodhan Tarte+, YangQuan Chen\*, Wei Ren, Kevin L. Moore.
   "Fractional Horsepower Dynamometer A General Purpose Hardware-In-The-Loop Real-Time Simulation Platform for Nonlinear Control Research and Education". December 13-15, 2006. San Diego. *IEEE Int, Conference on Decision and Control.*



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- ILC Iterative Learning Control • Y. Chen, J.-X. Xu, T.H. Lee and S. Yamamoto, **``Comparative Studies of Iterative Learning** Control Schemes for A Batch Chemical Process", Presented at the IEEE Singapore Int. Symposium on Control Theory and Applications, Singapore, pp. 166-70, 1997.
- J.-X. Xu, Y. Q. Chen\*, T.H. Lee and S. Yamamoto, 1999, ``Terminal Iterative Learning Control with an Application to RTPCVD Thickness Control," *Automatica*, vol. 35, no. 9, <sup>3/13/20</sup> pp. 1535-1542, 1999.




## Also first in ILC+HDD servo

- US06,437,936. 08/20/2002. "Repeatable runout compensation using a learning algorithm with scheduled parameters"
- US06,563,663. 05/13/2003. "Repeatable runout compensation using iterative learning control in a disc storage system"
- US06,574,067. 06/03/2003. "Optimally designed parsimonious repetitive learning compensator for HDDs having high track density"
- US06,654,198. 11/25/2003. "Repeatable run-out error compensation method for a disc drive" CPC @ Lam Research





### ILC linked to "Real-time SPC"

- http://www.neng.usu.edu/ece/csois/ilc/ILC/ilcref.h tml (1997) RT SPC
- R2R is a type of ILC, see
  - Youqing Wang, Furong Gao, Francis J. Doyle III.
    "Survey on iterative learning control, repetitive control, and run-to-run control" Journal of Process Control 19 (2009) 1589–1600







### More information

- YangQuan Chen, Kevin Moore, Jie Yu, Tao Zhang.
   "Iterative learning control and repetitive control in harddisk drive industry - a tutorial". Int. J. of Adaptive Control and Signal Processing, 22(4), 2008, pp. 325-343.
- "Iterative Learning Control" entry for "Encyclopedia of the Sciences of Learning" Seel, Norbert M. (Ed.) 2012, 4300 p. 100 illus. In 7 volumes.





#### Our ILC books

Lecture Notes in Control and Information Sciences 248

Yangquan Chen and Changyun Wen

#### Iterative Learning Control

Convergence, Robustness and Applications





Hyo-Sung Ahn - Kevin L. Moore YangQuan Chen Iterative Learning Control Reductment and Monatoric Conserption: for betrevel Londeren.





#### CPC @ Lam Research

2 Springer





## Iteration-Domain Robustness Designs

- Robustness with respect to batch-to-batch or runto-run variability was first investigated by Moore-Chen-Ahn school.
- So are
  - Monotonic ILC
  - Interval ILC
  - Intermittent ILC
  - Multi-agent ILC

Kevin L. Moore\*, Hyo-Sung Ahn+, and YangQuan Chen. "Iteration Domain \$H\_\infty\$-Optimal Iterative Learning Controller Design". (Wiley) International Journal of Nonlinear and Robust Control. Volume 18, Issue 10, Date: 10 July 2008, Pages: 1001-1017 CPC @ Lam Research



СРС @ Lam кеsearcn

R2R Simulation



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# Fractional Order

#### Modeling/Control/Signal Processing

- Fractional Calculus and Fractional Order Thinking
- From Fractional Order Signal Processing, Modeling to Control



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### Fractional (Noninteger)(order) operator

- First order differentiator: s
- First order integrator: 1/s







I. Petras, I. Podlubny, P. O'Leary, L. Dorcak, and Vinagre B. "Analogue **Realization of Fractional Order Controllers". FBERG, Technical** University of Kosice, Kosice, Slovak, ISBN 8070996277 edition, 2002.

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#### **Fractor: Analogue device**

#### Fractional Calculus Day at USU, April 19, 2005





<sup>3/13/2012</sup> Photo credit: Igor Podlubny





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## Oustaloup's Recursive Approximation for fractional order differentiators/integrator



 $\begin{array}{l} \text{w}\_\text{L=0.1;w}\_\text{H=1000; r=-0.5; figure; N=3; sys1=tf(1,[1,0]);} \\ \text{sys}\_\text{N}\_\text{tf=ora}\_\text{foc}(r,\text{N},\text{w}\_\text{L},\text{w}\_\text{H}); \text{bode}(\text{sys}\_\text{N}\_\text{tf},\text{k:'}, \text{sys1,'r-'}); \text{grid on;} \\ \text{title}(['\text{Oustaloup-Recursive-Approximation for } \{\text{is}^{^{\gamma}} \in \{\text{N}^{^{\gamma}}\}, \text{num2str}(r)]); \\ \end{array} \\ \end{array} \\ \begin{array}{l} = \frac{1}{s^{^{\gamma}}} \approx \frac{B(s)}{A(s)} \\ = \frac{1}{s^{^{\gamma}}} \approx$ 

http://www.mathworks.com/matlabcentral/fileexchange/3802-oustaloup-recursive-approximation-for-fractional-order-differentiators 3/13/2012 CPC @ Lam Research

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Chen's impulse response invariant discretization

for fractional order differentiators/integrator





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#### What is $s^{\alpha}$ when $\alpha$ is a *non-integer*?

Operator  ${}_{a}\mathrm{D}_{t}^{\alpha}$ 

A generalization of differential and integral operators:

$${}_{a}\mathbf{D}_{t}^{\alpha} = \begin{cases} \mathrm{d}^{\alpha}/\mathrm{d}t^{\alpha} & \mathbb{R}(\alpha) > 0, \\ 1 & \mathbb{R}(\alpha) = 0, \\ \int_{a}^{t}(\mathrm{d}\tau)^{-\alpha} & \mathbb{R}(\alpha) < 0. \end{cases}$$
(7)

There are two commonly used definitions for the general fractional order differentiation and integral, i.e., the **Grünwald-Letnikov definition** and the **Riemann-Liouville definition**.

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#### Example: Heaviside's unit step

#### **Example:** $\sin(t)$



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## Nothing surprising so far.

## Quite intuitive in fact.

## For example,



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... from integer to non-integer ...



$$\Gamma(x) = \int_{0}^{\infty} e^{-t} t^{x-1} dt, \qquad x > 0,$$
  
$$\Gamma(n+1) = 1 \cdot 2 \cdot 3 \cdot \ldots \cdot n = n!$$

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... from integer to non-integer ...



Slide credit: Igor Podlubny



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#### Interpolation of operations

$$f, \quad \frac{df}{dt}, \quad \frac{d^2f}{dt^2}, \quad \frac{d^3f}{dt^3}, \quad \dots$$

$$f, \quad \int f(t)dt, \quad \int dt \int f(t)dt, \quad \int dt \int dt \int dt \int f(t)dt, \quad \dots$$

$$\dots, \quad \frac{d^{-2}f}{dt^{-2}}, \quad \frac{d^{-1}f}{dt^{-1}}, \quad f, \quad \frac{df}{dt}, \quad \frac{d^2f}{dt^2}, \quad \dots$$

Slide credit: Igor Podlubny

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## "Fractional Order Thinking" or, "In Between Thinking"

- For example
  - Between integers there are non-integers;
  - Between logic 0 and logic 1, there is the "fuzzy logic";
  - Between integer order splines, there are "fractional order splines"
  - Between integer high order moments, there are noninteger order moments (e.g. FLOS)
  - Between "integer dimensions", there are **fractal dimensions**
  - Fractional Fourier transform (FrFT) in-between time-n-freq.
  - Non-Integer order calculus (fractional order calculus abuse of terminology.) (FOC)



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Slide credit: Igor Podlubny





- FOMs and Fractional Order Controls
- IO Controller + IO Plant
- FO Controller + IO Plant
- FO Controller + FO Plant
- IO Controller + FO Plant



Concepcin A. Monje, YangQuan Chen, Blas Vinagre, Dingyu Xue and Vicente Feliu (2010). "Fractional Order Systems and Controls - Fundamentals and Applications." Advanced Industrial Control Series, Springer-Verlag, www.springer.com/engineering/book/978-1-84996-334-3 (2010), 415 p. 223 ill.19 in color. 3/13/2012 CPC @ Lam Research

### 



Advances in Industrial Control

Concepción Alicia Monje YangQuan Chen Blas Manuel Vinagre Dingyü Xue Vicente Feliu

#### Fractional-order Systems and Controls

Fundamentals and Applications

2001-2010

Signals and Communication Technology

Hu Sheng YangQuan Chen Tianshuang Qiu

Fractional Processes and Fractional-Order Signal Processing

**Techniques and Applications** 



AIC

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2005-2011



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Why and How and When
•Why – Many reasons. Dynamic systems modeling and controls. Better characterization, better control performance How – Analog versus digital realization methods. Many.
When – Now. Ubiquitous. Take a try since we have the new tool. The beginning of a new stage

1695 1960s You are here		
static models	dynamical models	fractional order modeling
geometry, algebra	differential and integral calculus	fractional calculus

Slide credit: Igor Podlubny



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Modeling: heat transfer

$$\frac{\partial^2 y(x,t)}{\partial x^2} = k^2 \frac{\partial y(x,t)}{\partial t},$$

$$(t > 0, \quad 0 < x < \infty) \quad y(0,t) \quad y(x,t)$$
Boundary condition:  $y(0,t) = m(t)$ 

y(x,0) = 0 initial condition  $\left|\lim_{x\to\infty} y(x,t)\right| < \infty$  Physical limit

Transfer function:

 $\frac{1}{x}$ 

$$\begin{array}{lcl} \displaystyle \frac{\mathrm{d}^2 Y(x,s)}{\mathrm{d}x^2} &=& k^2 s Y(x,s) \\ Q(0,s) &=& M(s) \\ \displaystyle \lim_{\to \infty} Y(x,s) \bigg| &<& \infty \end{array}$$

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$$Y(x,s) = A(s)e^{-kx\sqrt{s}} + B(s)e^{kx\sqrt{s}}$$
$$A(s) = Y(0,s) = M(s)$$
$$B(s) = 0$$
$$Y(x,s) = M(s)e^{-kx\sqrt{s}}$$
$$G(s) = \frac{Y(x,s)}{M(s)} = e^{-kx\sqrt{s}}$$

think about transfer function  $e^{-\sqrt{s}}$  !

Irrational Transfer Function.

Taylor series expansion: polynomial of half order integrators!!

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Ideal physical plant model:

$$G_p(s) = e^{-\sqrt{s}}$$

First Order Plus Time Delay (FOPTD) Model:

$$G_{IO}(s) = \frac{K_1}{T_1 s + 1} e^{-L_1 s}$$

Time Delay with Single Fractional Pole Model:

*All models are wrong but some are useful.* **George E. P. Box** 3/13/2012

$$G_{FO}(s) = \frac{K_2}{T_2 s^{0.5} + 1} e^{-L_2 s}$$

All models are wrong but some are dangerous ... Leonard A. Smith



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Step response of the "Ideal Plant"

$$y(0,t) = m(t) = u(t), M(s) = \frac{1}{s}$$
$$Y(x,s)|_{x=1} = G(x,s)|_{x=1}M(s) = G_p(s)M(s) = \frac{1}{s}e^{-\sqrt{s}}$$

So, "Reaction-Curve" or Step response of the "Ideal Plant"





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Magic code to do  $y(t) = L^{-1}\left[\frac{1}{s}e^{-\sqrt{s}}\right]$ 

```
% step response of normalized 1D heat equation when x=1
clear all; close all; alpha=.5; Ts=0.1;
F = @(s) exp(-s.^alpha)./s;
%-----
alfa=0; M=1024; P=20; Er=1e-10; tm=M*Ts; wmax0=2*pi/Ts/2; L = M;
Taxis=[0:L-1]*Ts; n=1:L-1; n=n*Ts;
N=2*M; gd=2*P+1; t=linspace(0,tm,M); NT=2*tm*N/(N-2); omega=2*pi/NT;
c=alfa-log(Er)/NT; s=c-i*omega*(0:N+qd-1);
Fsc=feval(F,s); ft=fft(Fsc(1:N)); ft=ft(1:M);
q=Fsc(N+2:N+qd)./Fsc(N+1:N+qd-1); d=zeros(1,qd); e=d;
  d(1) = Fsc(N+1); d(2) = -q(1); z = exp(-i*omega*t);
  for r=2:2:gd-1; w=gd-r; e(1:w)=g(2:w+1)-g(1:w)+e(2:w+1); d(r+1)=e(1);
     if r > 2; q(1:w-1) = q(2:w) \cdot e(2:w) \cdot (q(1:w-1)); d(r) = -q(1);
    end
  end
  A2=zeros(1,M); B2=ones(1,M); A1=d(1)*B2; B1=B2;
  for n=2:qd
  A=A1+d(n)*z.*A2; B=B1+d(n)*z.*B2;A2=A1; B2=B1; A1=A; B1=B;
  end
ht = exp(c*t)/NT.*(2*real(ft+A./B)-Fsc(1));
%-----
figure;tt=0:(length(ht)-1);tt=tt*Ts;plot(tt,ht);
xlabel('time (sec.)');ylabel('temperature (C)');grid on
```

#### Application of numerical inverse Laplace transform algorithms in fractional calculus

Journal of the Franklin Institute, Volume 348, Issue 2, March 2011, Pages 315-330 Hu Sheng, Yan Li, YangQuan Chen http://dx.doi.org/10.1016/j.jfranklin.2010.11.009 (Check ref [8])

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# **So, let us do fitting!** I physical plant model: $G_p(s) = e^{-\sqrt{s}}$

Ideal physical plant model:

First Order Plus Time Delay (FOPTD) Model:

$$G_{IO}(s) = \frac{K_1}{T_1 s + 1} e^{-L_1 s}$$

Time Delay with Single Fractional Pole Model:

$$G_{FO}(s) = \frac{K_2}{T_2 s^{0.5} + 1} e^{-L_2 s}$$

All models are wrong but some are useful. George E. P. Box 3/13/2012



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Fitting code for  $G_{IO}(s) = \frac{K_1}{T_1 s + 1} e^{-L_1 s}$ 

% Ts: sampling period; ht: step response (from NILT numerical inverse % Laplace transform) % previously we got Ts and ht array (reaction curve) options=optimset('TolX',1e-10,'TolFun',1e-10); Tic;[x,FVAL,EXITFLAG] =fminsearch(@(x) fopdtfit(x,ht,Ts),[1,1,0],options);toc % May need to wait half minute K1=x(1);T1=x(2);L1=x(3);T=(0:length(ht)-1)\*Ts;if L1<0; L1=0; end sysfoptd=tf([K1],[T1,1],'iodelay',L1); y=step(sysfoptd,T);plot(T,ht,'r',t,y,'k:');grid on; title(['FOPDT optimal fitting result J=',num2str(FVAL)]); xlabel('time (sec.)');ylabel('step response'); legend('ideal', 'FOPDT')

```
% fitting using FOPT model - integral of error square (ISE)
function [J]=foptdfit(x,y0,Ts);
K1=x(1);T1=x(2);L1=x(3);T=(0:length(y0)-1)*Ts;if L1<0; L1=0; end
sysfoptd=tf([K1],[T1,1],'iodelay',L1);
y=step(sysfoptd,T);
J=(y'-y0)*(y-y0')*Ts;</pre>
```



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Fitting code for  $G_{FO}(s) = \frac{K_2}{T_2 s^{0.5} + 1} e^{-L_2 s}$ 

```
options=optimset('TolX',le-10,'TolFun',le-10);
Tic;[x,FVAL,EXITFLAG] =fminsearch(@(x) tdwfpfit(x,ht,Ts),[1,2,0],options);toc
% May need to wait 1000+ seconds!
Kl=x(1);Tl=x(2);Ll=x(3);Np=length(ht);T=(0:Np-1)*Ts;if L1<0; L1=0; end
y=mlf(0.5,1.5,-T.^0.5/T1);y=(K1/T1)*(T_0.5) .* y;
Nstep=floor(L1/Ts);
y1=zeros(size(y));y1(Nstep+1:Np)=y(1:Np-Nstep);
y=y1;plot(T,ht,'r',t,y,'k:');grid on;
title(['TDWFP optimal fitting result J=',num2str(FVAL)]);
xlabel('time (sec.)');ylabel('step response'); legend('ideal', 'TDWFP model')
```

```
% fitting using TDWPP model - integral of error square (ISE)
function [J]=tdwfpfit(x,y0,Ts);
Kl=x(1);Tl=x(2);Ll=x(3);Np=length(y0);T=(0:Np-1)*Ts;if L1<0; L1=0; end
y=mlf(0.5,1.5,-T.^0.5/T1);y=(K1/T1)*(T.^0.5) .* y;
Nstep=floor(L1/Ts);y1=zeros(size(y));y1(Nstep+1:Np)=y(1:Np-Nstep);
J=(y1-y0)*(y1-y0)'*Ts;
% get MLF.m from
% www.mathworks.com/matlabcentral/fileexchange/8738-mittag-leffler-function
```



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#### Benefits of FOM

- Captures (more) physics  $G_p(s) = e^{-\sqrt{s}} \xrightarrow{G_{FO}(s)} = \frac{K_2}{T_2 s^{0.5} + 1} e^{-L_2 s}$
- Reaction curve fitting: **Better than the best** FOPDT model  $G_{IO}(s) = \frac{K_1}{T_1 s + 1} e^{-L_1 s}$
- Could be a nice starting point for better controller design?


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#### FO Controller + IO Plant

#### Fractional order speed control of DC motor

System transfer function  $G(s) = \frac{k}{Js(Ts+1)} J$  being the payload inertia. Phase margin of controlled system:

 $\Phi_m = \arg \left[ C(j\omega_g) G(j\omega_g) \right] + \pi$ 

Controller:  $C(s) = k_1 \frac{k_2 s + 1}{s^{\alpha}}$ ,  $k_2 = T$  giving a constant phase margin:

$$\Phi_m = \arg \left[ C(j\omega)G(j\omega) \right] + \pi = \arg \left[ \frac{k_1 k}{(j\omega)^{(1+\alpha)}} \right] + \pi$$
$$= \arg \left[ (j\omega)^{-(1+\alpha)} \right] + \pi = \pi - (1+\alpha)\frac{\pi}{2}$$

Step response:

$$y(t) = \pounds^{-1} \left\{ \frac{kk_1/J}{s\left(s^{1+\alpha} + kk_1/J\right)} \right\} = \left(\frac{kk_1}{J}\right) t^{1+\alpha} E_{1+\alpha,2+\alpha} \left(-\frac{kk_1}{J} t^{1+\alpha}\right)$$
(63)



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Mittag-Leffler function: definition

$$E_{\alpha,\beta}(z) = \sum_{k=0}^{\infty} \frac{z^k}{\Gamma(\alpha k + \beta)}, \quad (\alpha > 0, \quad \beta > 0)$$
$$E_{1,1}(z) = e^z,$$

$$E_{2,1}(z^2) = \cosh(z), \quad E_{2,2}(z^2) = \frac{\sinh(z)}{z}.$$

$$E_{1/2,1}(z) = e^{z^2} \operatorname{erfc}(-z);$$
$$\operatorname{erfc}(z) = \frac{2}{\sqrt{\pi}} \int_{z}^{\infty} e^{-t^2} dt.$$

Slide credit: Igor Podlubny

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Note the iso-damping (similar overshoot!)

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# Fractional order PID control



Igor Podlubny. "Fractional-order systems and PI<sup>I</sup>D<sup>µ</sup>-controllers". IEEE Trans. Automatic Control,44(1): 208–214, 1999. YangQuan Chen, Dingyu Xue, and Huifang Dou. "Fractional Calculus and Biomimetic Control". IEEE Int. Conf. on Robotics and Biomimetics (<u>RoBio04</u>), August 22-25, 2004, Shengyang, China. 3/13/2012 CPC @ Lam Research



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#### Control Engineering Practice Best Paper Prize



Awarded jointly by Elsevier Ltd and the International Federation of Automatic Control (IFAC) for the best paper published in the period 2008-2011

Awarded to

<u>y</u>. Chen

for the paper

Tuning and auto-tuning of fractional order controllers for industry applications

(Vol. 16, No. 7, pp. 798-812)

Christopher Greenwell, Publisher Elsevier Etd.

Prof. Andreas Kugi, Editor-in-Chief Control Engineering Practice

- Fractional Order System official keyword of IFAC
- pid12.ing.unibs.it/

#### Slide-78 of 1024 UNIVERSITY Attacked topics @ CSOIS

http://mechatronics.ece.usu.edu/foc/afc/

- Fractional order disturbance observer
- Fractional order adaptive control
- Fractional order PI/D control
- Most recently
  - Fractional order conditional integrator (e.g. Clegg integrator) (JPC 2011)
  - Fractional order consensus seeking (IEEE SMC-B 10)
  - Fractional order optimal control (MATLAB Toolbox)

<sub>3/13/2012</sub> Fractional order model predictive control (??)







YangQuan Chen\*, Blas M. Vinagre and Igor Podlubny. "Fractional order disturbance observer for vibration suppression", (Kluwer) Nonlinear Dynamics, Vol. 38, Nos. 1-4, December 2004, pp. 355-367.





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#### How to design/tune FOC for motion control? $C(s) = K_p(1 + K_d s^{\mu})$ $P(s) = \frac{1}{s(Ts+1)}$

(i) Phase margin specification

$$\operatorname{Arg}[G(j\omega_c)] = \operatorname{Arg}[C(j\omega_c)P(j\omega_c)]$$
$$= -\pi + \phi_m,$$

(ii) Robustness to variation in the gain of the plant

$$\left(\frac{\mathrm{d}(\mathrm{Arg}(C(j\omega)P(j\omega)))}{\mathrm{d}\omega}\right)_{\omega=\omega_c} = 0,$$

with the condition that the phase derivative w. r. t. the frequency is zero, i.e., the phase Bode plot is flat, at the gain crossover frequency. It means that the system is more robust to gain changes and the overshoots of the response are almost the same.

(iii) Gain crossover frequency specification

$$|G(j\omega_c)|_{dB} = |C(j\omega_c)P(j\omega_c)|_{dB} = 0.$$

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### Experimental platform





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# Impressive Performance!

• How about FO[PD]?

$$C_3(s) = K_{p3}[1 + K_{d3}s]^{\mu}$$

• Note: FOPD shown previously is:

$$C_2(s) = K_{p2}(1 + K_{d2}s^{\lambda})$$

Ying Luo, Y. Q. Chen "Fractional order [proportional derivative] controller for a class of fractional order systems" *Automatica*, 45(10) 2009, pp 2446-2450. 3/13/2012 CPC @ Lam Research





# Fractional Order Signal Processing

- Additional characterization
- Infinite variance issue (2<sup>nd</sup> order moment)
- Long range dependence
- Time-frequency approach (FrFT)



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2p i

#### Example-1: Weierstrass function $\sin(\pi k^a x)$ $f_a(\mathbf{x}) =$ • Nowhere differentiable! $\pi k^{\alpha}$ **Fractional order** derivative exists ω differentiability order 0.5 or less Weierstrass Function (Dimension = 1.5) Й sprott.physics.wisc.edu/phys505/lect11.htm Wen Chen. "Soft matters". Slides presented at 2007 FOC\_Day @ USU.



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#### Noise - 1



#### Normal distribution N(0,1) Sample Variance



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#### Noise - 2



Uniformly distributed

#### Sample Variance







### Fractional Lower Order Statistics (FLOS) or Fractional Lower Order Moments (FLOM)



Shao, M., and Nikias, C. L.,
1993. "Signal processing with fractional lower order moments: stable processes and their applications".
Proceedings of the IEEE, 81 (7), pp. 986 – 1010.

Fig. 2. Running sample variances for four different values of  $\alpha$ : (a)  $\alpha = 2.0$ ; (b)  $\alpha = 1.9$ ; (c)  $\alpha = 1.5$ ; (d)  $\alpha = 1.1$ .

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## Important Remarks

A simple test of infinite variance is to plot the running sample variance estimate  $S_n$  with respect to number of points *n* where  $S_n^2 = (\sum_{k=1}^n (x_k - \bar{x}_n)^2)/(n-1)$  and  $\bar{x}_n = \sum_{k=1}^n x_k/n$ . For finite variance processes  $x_k$ ,  $S_n$  will converge to a constant value as *n* increases. If  $S_n$  does not converge to a constant value,  $x_k$  is a non-Gaussian infinite-variance process with fractional lower order  $\alpha < 2$ .

In fact, for a non-Gaussian stable distribution with characteristic exponent  $\alpha$ , only the moments of orders less than  $\alpha$  are finite. Therefore, variance can no longer be used as a measure of dispersion and in turn, many standard signal processing techniques such as spectral analysis and all least squares (LS) based methods may give misleading results.

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# Long-range dependence

- History: The first model for long range dependence was introduced by Mandelbrot and Van Ness (1968)
- Value: financial data

communications networks data video traffic, biocorrosion data, ... signals from nature and man-made systems







# Long-range dependence

Consider a second order stationary time series
 Y = {Y(k)} with mean zero. The time series Y is said to be long-range dependent if

$$r_{Y}(k) = EY(k)Y(0) \sim c_{Y} |k|^{-\gamma}, k \rightarrow \infty, 0 < \gamma < 1$$

$$s_{Y}(\xi) \sim c_{s} |\xi|^{-\alpha}, 0 < \alpha < 1,$$

# UtahState GSL: Do you care about it?



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# Long-term water-surface elevation graphs of the Great Salt Lake



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#### Elevation Records of Great Salt Lake

- The Great Salt Lake, located in Utah, U.S.A, is the fourth largest terminal lake in the world with drainage area of 90,000 km<sup>2</sup>.
- The United States Geological Survey (USGS) has been collecting watersurface-elevation data from Great Salt Lake since 1875.
- The modern era record-breaking rise of GSL level between 1982 and 1986 resulted in severe economic impact. The lake levels rose to a new historic high level of 4211:85 ft in 1986, 12.2 ft of this increase occurring after 1982.
- The rise in the lake since 1982 had caused 285 million U.S. dollars worth of damage to lakeside.
- According to the research in recent years, traditional time series analysis methods and models were found to be insufficient to describe adequately this dramatic rise and fall of GSL levels.
- This opened up the possibility of investigating whether there is longrange dependence in GSL water-surface-elevation data so that we can apply FOSP to it.



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# A recent paper

• Hu Sheng, YangQuan Chen "FARIMA with stable innovations model of Great Salt Lake elevation time series" Signal Processing, Volume 91, Issue 3, March 2011, Pages 553-561 Slide-97 of 1024





Optimal filtering in fractional Fourier domain



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#### Optimal filtering in fractional order Fourier domain



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Slide credit: HALDUN M. OZAKTAS

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# Summary of FOSP Techniques

- Fractional derivative and integral
- Fractional linear system
- Autoregressive fractional integral moving average
- 1/f noise
- Hurst parameter estimation
- Fractional Fourier Transform
- Fractional Cosine, Sine and Hartley transform
- Fractals
- Fractional Splines
- Fractional Lower Order Moments (FLOM) and Fractional Lower Order Statistics (FLOS)







#### Rule of thumb for Fractional Order Thinking

- Self-similar
- Scale-free/Scaleinvariant
- Power law
- Long range dependence (LRD)
- *1/f <sup>a</sup>* noise

- Porous media
- Particulate
- Granular
- Lossy
- Anomaly
- Disorder
- Soil, tissue, electrodes, bio, nano, network, transport, diffusion, soft matters (biox) ...



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# Outline

- Introduction CSOIS and Research Strength
- Cognitive Process Control A New Framework
- Potential Contributions from CSOIS to Lam <u>Research</u>
  - Jitter Margin Accommodation
  - Undistortion Technique
  - Iterative-Variant Uncertainties in R2R Controls
  - Fractional Order Modeling/Controls
  - New Ideas in Virtual Metrology/Outlier Modeling
  - MIMO Robust Control and Performance Monitoring





#### **Dynamic Virtual Metrology in Semiconductor Manufacturing**

Proposed Model building flow chart







# New Ideas

- Other efficient "learning machines"
   RVM
- Other fitting methods
  - TLS fitting for "data boxes" (not point)
  - Interval computation tools (IntLab)
- Dynamic VM R2R VM
- Fractional Order ANN based VM
  - Neuronal dynamics is inherently "fractional order"





# "Outlier modeling" – A New Fractional Order Statistic Point of View

- Paradigm shift
- "How do you know outlier is not part of the dynamic system's behavior?" YangQuan Chen
- Data has "equal rights"
- Outliers are of "spiky nature"
- "Event of low probability can still happen often"
   Hint of "heavy-tailedness" of PDF



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## NCS – delay is random, time-varying



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... and spiky

Time variant delay sample



(a) Network delay samples

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# PROBLEM? running variance estimate is not convergent



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#### CONCEPTS RELATED TO OUTLIER MODELING AND PREDICTION



Outlier

**MODELS?** 

#### Fractional Brownian motion (FBm)

 O.I. Sheludin, S.M. Smolskiy, and A.V. Osin, Self-Similar Processes in Telecommunications. John Wile & Sons, Ltd, England, 2007.

#### $\alpha$ -stable distributions

- [10] S. Mukhopadhyay, Y. Han, and Y.Q. Chen, "Fractional Order Networked Control Systems and Random Delay Dynamics: a Hardware-in-the-Loop Simulation Study". In: Proceedings of the 2009 American Control Conference, pp. 1418-1423, USA, 2009.
- [11] W. Qin, Q. Wang, and A. Sivasubramiam, "An α-stable Model-based Linear-parameter-varying Control for Managing Server Performance Under Self-similar Workloads". *IEEE Transactions on Control Systems Technol*ogy, Vol. 17, No. 1, pp. 123-134, January 2009.

## Fractional Autorregresive Moving Average (ARFIMA) proccess

- [7] S. Stoev, and M.S. Taqqu, "Simulation Methods for Linear Fractional Stable Motion and FARIMA Using the Fast Fourier Transform". *Fractals*. Vol. 12, No. 1, pp. 95-121, 2004.
- [27] A. Scherrer, N. Larrieu, P. Owerzarski, P. Borgnat, and P. Abry, "Non-Gaussian and Long Memory Statistical Characterisations for Internet Traffic with Anomalies". *IEEE Transactions on Dependable and Secure Computing*, Vol. 4, No. 1, pp. 56-70, 2007.







## FO NETWORK DELAY DYNAMICS





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## Outline

- Introduction CSOIS and Research Strength
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- Potential Contributions from CSOIS to Lam <u>Research</u>
  - Jitter Margin Accommodation
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  - Fractional Order Modeling/Controls
  - New Ideas in Virtual Metrology/Outlier Modeling
  - MIMO Robust Control and Performance Monitoring



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Automation and Control Engineering Series

#### Quantitative Process Control Theory





#### Click to LOOK INSIDE!



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#### Possible "Robust Control" Topics Useful to Lam Research

- H\_inf loopshaping
- MIMO decoupler design
- Delay compensation in MIMO systems
- Spatial robustness
  - Optimal spatial uniformity control
  - Spatial domain loop shaping
  - Optimal spatial actuation scheduling
- (movie next)



3) Spray neutralizing chemical in amount proportional to concentration in region





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#### Wafer-fab as a Cyber-Physical System



A Fisher-information-matrix-based Approach

Christophe Tricaud YangQuan Chen

Optimal Mobile Sensing and Actuation Policies in Cyber-physical Systems





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## Thank you for your attention!

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## Acknowledgements

- Lam for invitation and Tao Zhang for serving as my role model!
- NRC Twinning Grant, 2003-2005. (Igor Podlubny, K. Moore co-PIs)
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- NSF SBIR Phase-1 Grant, 2006 (Gary Bohannan, PI)
- Igor Podlubny, Ivo Petras, Lubomir Dorcak, Blas Vinagre, Shunji Manabe, J.T.M. Machado, J. Sabatier, Om Agrawal, Kevin L. Moore, Dingyu Xue, Anhong Zhou, Richard L. Magin, Wen Chen, Changpin Li, Yan Li, Gary Bohannan.
- Concepción A. Monje, José Ignacio Suárez, Chunna Zhao, Jinsong Liang, Hyosung Ahn, Tripti Bhaskaran, Theodore Ndzana, Christophe Tricaud, Rongtao Sun, Nikita Zaveri, ...



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## Backup slides

- Youtube channels of CSOIS:
  - http://www.youtube.com/user/MASnetPlatform
  - http://www.youtube.com/user/USUOSAM
  - http://www.youtube.com/user/FractionalCalculus





#### More on FOSP/FOC







## **Smart Mechatronics**

**Biomimetic Materials and Biomimetic Actuators** 

- EAP (electroactive polymers), a.k.a. artificial muscle
- ferroelectric and relaxor materials
- piezoceramic and piezopolymetric materials
- liquid crystal elastomers
- electro and magnetostrictive materials
- shape memory alloys/polymers
- intelligent gels etc.

However, little has been reported on the controls of actuators made with these biomimetic materials.



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# Compensation of nonlinearity with memory

- e.g., hysteresis, backlash.
- My Assertion: Fractional calculus may better help us.

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17

#### A Hidden Evidence

IEEE TRANSACTIONS ON CONTROL SYSTEMS TECHNOLOGY, VOL. 9, NO. 1, JANUARY 2001

#### Phase Control Approach to Hysteresis Reduction

Juan Manuel Cruz-Hernández, Member, IEEE, and Vincent Hayward, Member, IEEE,

Abstract—This paper describes a method for the design of compensators able to reduce hysteresis in transducers, as well as two measures to quantify and compare controller performance. Rate independent hysteresis, as represented by the Preisach model of hysteresis, is seen as an input–output phase lag. The compensation is based on controllers derived from the "phaser," a unitary gain operator that shifts a periodic signal by a single phase angle. A "variable phaser" is shown to be able to handle minor hysteresis loops. Practical implementations of these controllers are given and discussed. Experimental results exemplify the use of these techniques.

*Index Terms*—Compensation, hysteresis, intelligent materials, phase control, piezoelectric transducers, smart materials, transducers.



Fig. 1. Hysteresis loop and branching.



Fig. 2. A black box representation of hysteresis.







Fig. 10. Frequency response. (a) Ideal phaser. (b) Approximation.





(b)

Frequency [Hz]

10,000

100,000

1,000,000

1,000



Fig. 2. Schematic for a fractional order integrator.  $Z_F$  represents the Fractor<sup>TM</sup> element. The schematic symbol for the Fractor<sup>TM</sup> was designed to give the impression of a generalized Warburg impedance; a mixture of resistive and capacitive characteristics.

Gary W. Bohannan "Analog **Fractional Order Controller in a Temperature Control Application**". Proc. of the 2<sup>nd</sup> IFAC FDA06, July 19-21, 2006, Porto, Portugal.

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-70

-80 -90

10

100



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#### Big Picture, or, *The take-home message*

The big picture for the future is the intelligent control of biomimetic system using biomimetic materials with fractional order calculus embedded. In other words, it is definitely worth to have a look of the notion of ``intelligent control of intelligent materials using intelligent materials."

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USU Material Research Laboratory

 Materials Processing, Heat Treating, Materials Joining, and Powder Metallurgy Studies using the Gleeble 1500D System

**Utah**Stal





Source: http://www.mae.usu.edu/faculty/leijun/gleeble.html <u>NSF NER</u>: Solid-state synthesis of nano-scale hydrogen storage materials by bulk mechanical alloying http://www.mae.usu.edu/faculty/leijun/ 3/13/2012

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#### Fractional order calculus?

Dynamic force





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#### Fractional order vs. strokes



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## Big picture of nanoparticle manufacturing

- Now: given cycles, given stroke profile, see how particulate process evolves.
- Future: Production process development given final particle grain size distribution, how to achieve this by using minimum number of cycles with possible cycle-to-cycle, or run-to-run (per several cycles) adaptive learning control with variable stroke profiles.







# Fractional order ILC (iterative learning control)?

- D-alpha type ILC with a (really good) reason?!
  - YangQuan Chen and Kevin L. Moore. ``On D<sup>α</sup>-type Iterative Learning Control". Presented at the IEEE Conference on Decision and Control (CDC'01), Dec. 3-7, 2001, Orlando, FL, USA. pp.4451-4456. http://www.csois.usu.edu/publications/pdf/pub054.pdf





#### **CFOSE - DEMONSTRATION CORNER**







#### Applications – C-FOSE Proposal (Center for Fractional Order Systems Engineering)

- 1. Human-augmentation
- 2. Human Nerve System
- 3. Robotic equipment
- 4. Electric drive systems
- 5. Power Converters
- 6. Disk drive servo
- 7. Audio signal processing
- 8. Aircraft
- 9. Automobiles
- 10. Fuel cells
- 11. Lidar, radar, sonar, ultrasonic imaging
- 12. Battery chargers
- 13. Nuclear reactors
- 14. Temperature Control
- 15. Biosensor signal processing

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