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A review and evaluation of numerical tools for fractional calculus and fractional order controls

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ABSTRACT
In recent years, as fractional calculus becomes more and more broadly used in research across different academic disciplines, there are increasing demands for the numerical tools for the computation of fractional integration/differentiation, and the simulation of fractional order systems. Time to time, being asked about which tool is suitable for a specific application, the authors decide to carry out this survey to present recapitulative information of the available tools in the literature, in hope of benefiting researchers with different academic backgrounds. With this motivation, the present article collects the scattered tools into a dashboard view, briefly introduces their usage and algorithms, evaluates the accuracy, compares the performance, and provides informative comments for selection.

1. Introduction

The fractional calculus (FC) got birth 300 years ago, and the research on FC experienced its boom in the past decades (Machado, Kiryakova, & Mainardi, 2011; Miller & Ross, 1993; Sabatier, Agrawal, & Machado, 2007). Besides the fundamental mathematical study, more and more researchers from different academic disciplines begin to utilise it in a variety of subject-associated research, such as in biology and biomedical (Magin, 2006; West, 2006), sociology (Lewis, 2014; West, Turalska, & Grigolini, 2014), economics (Ding, Granger, & Engle, 1993; Malkiel, 1999), and control engineering (Li & Chen, 2014; Monje, Chen, Vinagre, Xue, & Feliu, 2006; Yin, Chen, & Zhong, 2014). Along with the rapid development of theoretical study, the numerical methods and practical implementation also made considerable progress (Barbosa & Machado, 2006; Bohannan, 2008; Jiang, Hartley, Carletta, & Veillette, 2013).

Sharp tools are prerequisite to a successful job. In this paper, an extensive collection of Matlab-based tools are exhibited for the numerical computation of fractional order (FO) integration/differentiation, as well as some toolboxes for engineering applications, with an emphasis on FO controls. A comprehensive table (Table 1) is created to list the recapitulative information of these tools in a dashboard view. Brief description and basic evaluation of these numerical algorithms are presented, in terms of usage, accuracy, unique features, advantages, and drawbacks. Through such efforts, it is hoped that an informative guidance is provided to the readers when they face the problem of selecting a numerical tool for a specific application. Thanks to the authors of these tools. It is these pioneers who bring great convenience for the practical use of FC and FO control. While a text descriptive survey on some of the tools under discussion can be found in the book (Das & Pan, 2012), and 28 alternatives for the time-domain implementation of FO derivatives are documented in Valério and da Costa (2005), the present paper addresses more quantitative comparison and practical usage.

The rest of the paper are organised as follows: Section 2 reviews 20 selected numerical tools through brief description; Section 3 evaluates and compares the quantitative performance of the tools in three categories; Section 4 gives comments for tool selection based on empirical use.

2. Review and description

This article mainly covers the tools for fundamental FC, such as the numerical computation of fractional integration/differentiation of a function or a signal, and the Laplace transform of fractional differential equations (Podlubny, 1999a). Since automatic control is one of the engineering disciplines that got the earliest exposure to...
FC (Axtell & Bise, 1990; Bagley & Calico, 1989; Bode, 1945; Podlubny, 1999b), the tools for the application of FO controls are given more focus, associated with the authors’ expertise.

### 2.1 @fotf

@fotf (fractional order transfer function) is a control toolbox for FO systems developed by Xue et al. Most of the functions inside are extended from the Matlab built-in functions. In Chen, Petráš, and Xue (2009), the code and usage of the @fotf toolbox are described in detail. It uses the overload programming technique to enable the related methods of the Matlab built-in functions to deal with FO models. The transfer function objects generated from it can be interactive with those generated from the Matlab transfer function class. Yet, the overloading of associated functions, such as impulse() and step(), lost the plotting functionality. As a work around, users can simply define a time vector as the second input to these functions. fotf toolbox supports time delay in the TF, e.g. fotf(a, na, b, nb, delay). It does not directly support transfer function matrix, hence, MIMO systems cannot be simulated directly. However, since it provides Simulink block encapsulation of the involved function fotf(), multiple input/output relationship can be established by manually adding loop interactions in Simulink block diagrams. Therefore, the remark ‘could’ is put in the ‘MIMO’ column in Table 1 (where the ‘Delay’ column denotes whether the script/toolbox is able to handle time delay in the FO model; and the ‘MIMO’ column denotes whether the script/toolbox is able to handle MIMO FO models.).

A small drawback with @fotf is that the sampling time has relatively big impact on the accuracy, which has been remarked in the validation comments in Chen et al. (2009). Encouragingly, an update is upcoming according to the author.

### 2.2 Ninteger

Ninteger, non-integer control toolbox for Matlab, is a toolbox intended to help with developing FO controllers and assessing their performance (Valério & da Costa, 2004). It uses integer order transfer functions to approximate the FO integrator/differentiator, $C(s) = \kappa s^\alpha$, $\alpha \in \mathbb{R}$. It offers three frequency domain approximation methods,

1. The CRONE method, which uses a recursive distribution,

   $$ C(s) = k \prod_{n=1}^{N} \frac{1 + s/\omega_{2n}}{1 + s/\omega_{pm}}; $$

2. The Carlson's method, which solves $C'(s)$ using Newton's iterative method,

   $$ C_n(s) = C_{n-1}(s) \frac{(\alpha - 1)C_{n-1}'(s) + (\alpha + 1)g(s)}{(\alpha + 1)C_{n-1}'(s) + (\alpha - 1)g(s)}; $$
(3) The Matsuda’s method, which approximates $C$ with a gain known at several frequencies.

$$C(s) = \left[ d_0(\omega_0); \ (s - \omega_{k-1})/d_k(\omega_k) \right]_{k=1}^{+\infty},$$

$$d_0(\omega) = |C(j\omega)|, \ \ \ d_{k+1}(\omega) = \frac{\omega - \omega_k}{d_k(\omega) - d_k(\omega_k)}.$$

It also provides Simulink block encapsulation of the involved functions, such as ‘n’ and ‘nipid’ blocks. Moreover, it offers a user-friendly GUI for fractional order PID (FOPID) controller design.

There is a problem with ninteger toolbox in Matlab version 2013a or later. Without additional editing, it has conflicts with some built-in functions due to the overload editing of the Matlab built-in function isninteger(). For example, calling the mean() function will prompt an error.

2.3 ooCroneToolbox

The CRONE Toolbox, developed since the nineties by the CRONE team, is a Matlab and Simulink toolbox dedicated to applications of non-integer derivatives in engineering and science (Oustaloup, Melchior, Lanusse, Cois, & Dancla, 2000). It evolved from the original script version to the current object-oriented version. A good feature of the Crane toolbox is that some of the methods are implemented for MIMO fractional transfer functions. For example, executing sysMIMO = [sys; sys; sys2; sys2] generates a two-input-two-output TF matrix. Many simulation results in the literature are obtained using the CRONE toolbox such as the design of centralised CRONE controller with the combination of the MIMO-QFT approach in Youfli, Melchior, Rekik, Derbel, and Oustaloup (2012). Several other toolboxes are inspired by CRONE, e.g. ninteger and FOMCON. A drawback of the CRONE toolbox is that time delay cannot be incorporated into the generated FO TF. Manually multiplying the delay to the frac_tf object does not work either because the exp() operation is not overloaded by frac_tf class. CRONE is a toolbox much more powerful than merely simulating FO systems. In spite of this basic functionality, it is also capable of FO system identification and robust control analysis and design.

2.4 FOMCON

The FOMCON (fractional-order modelling and control) toolbox is developed by Tepljakov, Petlenkov, and Belikov (2011). Its kernel utilises the algorithms in FOTF, Ninteger, and CRONE. It encapsulates some of the major functionalities of those three toolboxes, and builds a GUI shell on top, aiming at extending classical control schemes for FO controller designs. The relation of FOMCON with the three toolboxes is shown in Figure 1. Some notable changes/patches to the original FOTF are:

- newfotf() uses the string parser to enable users to input TF as a string;
- tf2ss() is overloaded and nss() is added, which makes the conversion between an FO TF object and an FO state-space (SS) object possible. The CRONE toolbox is also able to do the task, yet the script is encrypted in Matlab P code format.

2.5 M–L functions

M–L functions, as the name implies, are Matlab functions developed for numerically computing the Mittag–Leffler function (definitions can be found in Magin (2006), etc). There are several versions of code by different authors available in the literature. Five of them are listed in Table 1, where

1. mlf($\alpha$, $\beta$, $x$, $p$) is for the calculation of the two-parameter M–L function in the form of $E_{\alpha,\beta}(x)$ with the precision of $p$ for each element in $x$;
2. ml_func($\alpha$, $\beta$, $\gamma$, $\epsilon$) is capable of computing the M–L function with either 1, 2, 3, or 4 parameters, and the script is available in the books by Xue and Chen (2014b) and Monje et al. (2006). It uses the fast truncation algorithm to improve the efficiency, and embeds the mlf() in the file such that when the fast truncation algorithm is not convergent, solution is guaranted by trading off some efficiency;
3. ml_fun($\alpha$, $\beta$, $x$, $n$, $\epsilon_0$) ($\alpha > 0$, $\beta > 0$) is also for two-parameter M–L function with error tolerance of $\epsilon_0$, which is implemented using C-MEX .dll (dynamic-link library) technique and can be used in Simulink through s-functions;
4. gml_fun($\alpha$, $\beta$, $\gamma$, $x$, $\epsilon_0$) calculates the generalised M–L function with three parameters in the form of $E_{\gamma}(x)$ (Prabhakar, 1971);
5. mlf($x$, $\alpha$, $\beta$, $\gamma$) can calculate the M–L function with either 1, 2, or 3 parameters.

Alternatively, the generalised hypergeometric function $\text{genHyper}(a, b, z, \lnpq, ix, nshfig)$ in
Barrowes (2005), or [y, tt, nterms] = pfq(a, b, z, d) in Huntley (2012) can also achieve the numerical computation of the generalised M–L functions under certain conditions. For more details, refer to Chaurasia and Pandey (2010).

2.6 NILT

The inversion of Laplace transform is fundamentally important in the applications of the Laplace transform method. It can be carried out with one of the following three approaches: (1) analytical solution using definition and basic properties; (2) Laplace transform tables; and (3) numerical computation. While analytical solutions are usually too hard to be obtained, and tables do not cover arbitrary cases, the numerical computation becomes an inevitable way. Among the numerous algorithms for numerical inversion of Laplace transform (NILT), NILT in de Hoog, Knight, and Stokes (1982), Liang (2005) and the ‘improved NILT’ in Valsa and Brancık (1998), Brancık (1999), and Brancık (2001) have relatively bigger literature exposure. Lubomir’s NILT method applies the fast Fourier transformation (FFT) and the ε-algorithm to speed up the convergence of infinite complex Fourier series. A very detailed description and performance evaluation of these methods is available in Sheng, Li, and Chen (2011). Hence, repetitive comparison among different NILTs are not presented here. Focus is mainly put on the comparison between NILT and other numerical methods.

A good feature of the two NILT code is that both support the direct input of time delay in the form of exp(−Ls). Yet, INVLAP() gives some glitch at the end of the delay, for example, [x, y] = INVLAP(1/(s* (s^0.5+1)) * exp(-s), 0.01,10,1000). There is a tricky part need to be noted in evaluating the computational error of exp(-s), 0.01,10,1000). There is a tricky part need the delay, for example, . Focus Sheng, Li, and Chen (2011). Hence, repetitive comparison among different NILTs are not presented here. Focus is mainly put on the comparison between NILT and other numerical methods.

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2.7 dfod

dfod (digital fractional order differentiator/integrator) is a set of Matlab functions written by Petráš, for the approximation of FO differentiators and integrators. There are three versions of dfod:

(1) dfod1() is the infinite impulse response (IIR) type based on continued fraction expansion (CEF), shown in Equation (1), of weighted operator with the mixed scheme of the trapezoidal (Tustin) rule and the backward difference (Euler) rule (Petráš, 2003a);

\[
Z[D^\alpha x(t)] = CFE \left( \frac{1 - z^{-1}}{T} \right)^{\alpha} X(z) 
\approx \left( \frac{1}{T} \right)^{\alpha} \frac{P_\alpha (z^{-1})}{Q_\alpha (z^{-1})} X(z). \tag{1}
\]

(2) dfod2() is the finite impulse response (FIR) type based on power series expansion (PSE), shown in Equation (2), of the backward difference (Euler) rule (Petráš, 2003b);

\[
D^\alpha (z) = \frac{1}{(1 - z^{-1})^{2\alpha}} T^{\alpha} \sum_{j=0}^{\infty} (-1)^j \left( \frac{\pm\alpha}{j} \right) z^{-j} \approx \frac{1}{(1 - z^{-1})^{2\alpha}} T^{\alpha} \frac{P_\alpha (z^{-1})}{Q_\alpha (z^{-1})} \tag{2}
\]

(3) dfod3() is a new IIR type based on power series expansion of the trapezoidal (Tustin) rule Petráš (2011a).

\[
\text{Euler: } s^\alpha \approx \left[ \frac{1 - z^{-1}}{T} \right]^\alpha,
\]

\[
\text{Tustin: } s^\alpha \approx \left[ \frac{2 - 1 - z^{-1}}{T + 1 - z^{-1}} \right]^\alpha. \tag{3}
\]
There are other FO algorithms based on IIR, such as newfod() by Chen and Vinagre (2003).

Regarding discretisation, besides the aforementioned methods used in the various tools, other methods exist such as the Prony's technique, direct discretisation, and the binomial expansion of the backward difference (Caponetto, Dongola, Fortuna, & Petráš, 2010).

2.8 IRID

The impulse response invariant discretisation (IRID) is a family of functions designed by Li, Sheng, and Chen (2010) and Chen (2008b), for different approximation purposes based on the algorithm as its name implies. It includes the following members:

1. irid_fod() is designed to compute a discrete-time finite dimensional (z) transfer function to approximate a continuous irrational transfer function \( s^\alpha \) where 's' is the Laplace transform variable and \(-1 < \alpha < 1\). It has been tested that the algorithm still works for \( \alpha > 1 \) and \( \alpha < -1 \), by removing the input checking statement.

2. irid_doi() is for the approximation of distributed order integrator \( \int_{a}^{b} \frac{1}{s^\alpha} ds \), where 'a' and 'b' are arbitrary real numbers in the range of (0.5, 1), and \( a < b \).

3. irid_dolp() is for the approximation of a continuous-time fractional order low-pass filter in the form of \( 1/(\tau s + 1)^\alpha \)

4. irid_fsfo() is for the approximation of fractional second-order filter in the form of \( 1/(s^2 + as + b)^\alpha \) where \( 0 < \alpha < 1 \).

5. BICO_irid() is for the approximation of BICO (Bode's ideal cut-off) transfer function in the form of \( 1/(s/w_0 + \sqrt{(s/w_0)^2 + 1})^\alpha \), where \( \alpha > 0 \).

2.9 ora_foc

ora_foc() is for the approximation of FO differentiators, \( \frac{1}{s^\alpha} \) (Xue, Chen, & Atherton, 2009), using the Oustaloup-recursive-approximation method described in Oustaloup, Levron, Mathieu, and Nanot (2000).

2.10 fderiv

fderiv() calculates the fractional derivative of order \( \alpha \) for the given function \( r(t) \) using the Grünwald–Letnikov (G–L) definition (Bayat, 2007). The input of the given function is represented by a vector of signal values.
There is an improved implementation of this function, fgl_deriv(), by Jonathan, which uses vectorisation for faster computation with Matlab (Jonathan, 2014).

2.11 glfdiff

glfdiff(y,t,α) (G–L finite diff) is a Matlab function written by Xue and Chen (2014a) for calculating the αth derivative of a given function, whose inputs y and t are the signal and time vectors. It is based on the forward finite difference approximation of the G–L definition,

\[ \alpha D_t^\alpha f(t) \approx \frac{1}{h^\alpha} \sum_{j=0}^{(\alpha-1)/h} \omega^{(\alpha)}_j f(t - jh), \]

where the binomial coefficients are recursively calculated (Xue and Chen, 2014a):

\[
\omega^{(\alpha)}_0 = 1, \quad \omega^{(\alpha)}_j = \left(1 - \frac{\alpha + 1}{j}\right) \omega^{(\alpha)}_{j-1}, \quad j = 1, 2, \ldots
\]

2.12 Fractional differentiation and integration

Many of the above functions approximate the FO integral or derivative operator. This Matlab function calculates the αth-order derivative or integral of a function, defined in a given range through Fourier series expansion. The necessary integrations are performed with the Gauss–Legendre quadrature rule (Papazafeiropoulos, 2014). Three examples are offered in this package, namely FO differ/integral of identity, cubic polynomial, and tabular functions, respectively. The main call function is fourier_diffint().

2.13 FIT

FIT is the fractional integration toolbox developed by Santamaria Laboratory at the University of Texas at San Antonio (Marinov, Ramirez, & Santamaria, 2013b). It is for the numerical computation of fractional integration and differentiation of the Riemann–Liouville (R–L) type, and is designed for large data size, which allows parallel computing of multiple fractional integration/differentiation on GPUs (graphical processing units). The extrapolation and interpolation algorithms used by this toolbox are implemented in C++ and are integrated with Matlab via the MEX mechanism. A detailed explanation can be found in Marinov, Ramirez, and Santamaria (2013a).

2.14 DFOC

DFOC, written by Petráš, is a digital version of the FOPID controller of the form:

\[ C(s) = K + \frac{T_i}{s^\alpha} + T_d s^\beta. \]

It provides a transfer function of the FO PID controller for given parameters (Petráš, 2011b).

2.15 FOPID

The FOPID controller toolbox, presented by Lachhab, Svaricek, Wobbe, and Rabba (2013), is for the design of robust fractional order \( P^{\alpha} D^{\beta} \) controllers. The tuning rules for the parameters follow those promoted in Li, Luo, and Chen (2010) and Luo and Chen (2013). Thus, the FOPID tuning is converted to a five-parameter optimisation problem. This toolbox utilise the ‘non-smooth’ \( H_\infty \) synthesis in Apkarian and Noll (2006) to perform the minimisation. For now, there is not a publicly available source for download.

2.16 Sysquake FO PID

Pisoni, Visioli, and Dormido (2009) presented an interactive tool for FOPID controllers developed on the Sysquake software environment, which is a similar effort with that for integer order PIDs done by Åström et al. in Guzman et al. (2008). Sysquake is a numerical computing environment based on a programming language mostly compatible with Matlab. However, the interactive tool for FOPID runs in the Sysquake environment instead of Matlab. Hence, it is not reviewed in detail here.

2.17 FOCP

Tricaud and Chen (2009) formulated the fractional optimal control problems (FOCPs) into the integer order format by using a rational approximation of the fractional derivative obtained from the singular value decomposition (SVD) of the Hankel matrix of the impulse response. Then, RIOTS_95 (Tricaud & Chen, 2008; Zhao, Chen, & Li, 2014) is used to perform the optimisation. The scheme is potentially able to solve any type of FOCPs and is implemented in Matlab for public accessibility (Tricaud, 2009). It supports MIMO FO optimal control, but does not handle time delay due to the limitation of RIOTS.

2.18 FSST

FSST is a simulation toolkit in Matlab/Simulink for the FO discrete SS system education. The toolkit consists of a set of C-MEX s-functions which are encapsulated
in Simulink blocks. Several typical FO system simulation examples are provided as shown in Figure 3, such as the FO SS model and the fractional Kalman filter (FKF) (Dzieliński & Sierociuk, 2008). The version 1.7 is available for free download at Sierociuk (2003). Two of the superior strengths of FSST are (1) it can directly simulate MIMO systems since it is a Simulink block kit handling SS representations; (2) it is able to incorporate the initial conditions into the dynamic equations to be simulated, which is a unique feature among all the aforementioned tools. The drawback of FSST is that the step size has large impact on the simulation results, even larger than the impact by ‘circular’ buffer size. A sample illustration is plotted in Figure 4.

### 2.19 Fractional variable orders

All the above tools/toolboxes (except irid_doi()) deal with constant FOs. Yet, there exists a type of differentiations that have fractional variable orders (FVOs). The definitions in the G–L format are given as follows (Lorenzo & Hartley, 2002):

**Theorem 1 (The first type FVO):**

\[
\dfrac{d^{\alpha(t)}}{dt^{\alpha(t)}} f(t) = \lim_{h \to 0} \frac{1}{h^\alpha} \sum_{r=0}^{n} (-1)^r \binom{\alpha(t)}{r} f(t - rh).
\]

(7)

The second and third types can be found in the same reference.

Regarding the FVO differentiation, there are dedicated tools. Podlubny (2000) offers a matrix approach that unifies the numerical differentiation of integer order and the \(n\)-fold integration, using the so-called triangular strip matrices. It is available for download at Podlubny (2008) and can be applied on the solution to FODEs and FPDEs.


The toolbox ‘vod’ created by Valério et al. calculates variable fractional or complex order derivatives. R–L, Caputo, and G–L definitions are provided; the three types of definitions in Lorenzo and Hartley (2002) are all considered. Fuzzy-supervised implementations in Simulink are also provided (Valério, 2009).

### 2.20 FO root locus

Three Matlab-based scripts for plotting root locus of FO TFs are available. Two early works are frlocus() in bayat (2008), and the code attached in the paper by Machado

Figure 3. The Simulink block set provided in FSST.

Figure 4. The impact of simulation step size on the FSST toolbox.
(2011). The other is forlocus() developed by the author which is listed in the last row in Table 1 and can be downloaded from Li (2015). Besides, the newest version of @ftf toolbox also features the root locus plot of FO systems. Figure 5 shows a demonstrating plot of the root locus of the following FO transfer function:

\[ G(s) = \frac{1.2s^{1.3} + 1}{0.8s^{2.6} + 0.6s^{1.3} + 1}, \tag{8} \]

where Figure 5(a) shows the plot on the Laplace s-plane and Figure 5(b) shows the plot on the \( w = s^{1.3} \) plane. A closer view of the second quadrant in Figure 5(b) tells that the root locus in this example has two branches on the first layer of the Riemann sheet (Corless & Jeffrey, 1998; Farkas & Kra, 1980). One starts from the pole marked in green, and the other is from the next Riemann sheet. As the system gain varies, they aggregate at \((-1.25 + 1.1i)\) and then bifurcate. One approaches the open loop zero marked in red and the other goes to infinity.

### 3. Evaluation and comparison

#### 3.1 Comparison I

To evaluate the collected tools, several groups of benchmark problems and inputs are designed. For the FO control toolboxes, the following problems are used:

1. Baseline model: first-order transfer function,

\[ g_b(s) = \frac{1}{s + 1}, \]

whose time domain analytical solution of its step response is \( y(t) = 1 - e^{-t} \);

2. Impulse response of half-order integrator:

\[ g_{half}(s) = \frac{1}{\sqrt{s}}, \]

whose time domain analytical solution is \( \frac{1}{\sqrt{\pi t}} \);

3. TF with a half-order pole:

\[ g_{hp}(s) = \frac{1}{\sqrt{s} + 1}, \]

whose time domain analytical solution is

\[ \frac{1}{\sqrt{T}} E_{1.5}(-\sqrt{T}), \text{ or equivalently,} \]

\[ \frac{1}{\sqrt{\pi t}} \text{erfc} \left[ \sqrt{T} \right]; \]

4. The commensurate order TF:

\[ g_{com}(s) = \frac{6s^{1.2} + s^{0.8} + 2s^{0.4} + 3}{5s^{1.6} + s^{0.8} + 2}; \]

2.2 Other tools

Text description of a few tools listed above can also be found in Petráš (2011c). There are other FC-related tools or Matlab scripts available for specific applications, such as the fractional Fourier transform (FrFT) (Narayanan & Prabhu, 2003; Ozaktas, Zalevsky, & Kutay, 2001), closed-form solutions to linear FO differential equations, fode_sol() (Monje et al., 2006), the M-L random number generator mlrnd() (Germano, 2008), digital fractional order Savitzky–Golay differentiator (Chen, Chen, & Xue, 2011), and the functions for simulating FO chaotic systems (Petráš, 2011d). Considering the scope of research, they are not enumerated here and only fundamental FC and FO control-related tools are reviewed.
Table 2. Quantitative evaluation results for the test problems 1–5.

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<td>2.9627</td>
<td>1.4254</td>
<td>10.454</td>
<td>3.1857</td>
<td></td>
</tr>
<tr>
<td>5a</td>
<td>0</td>
<td>-</td>
<td>4.69 x 10^{-14}</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>5c</td>
<td>8.62 x 10^{-12}</td>
<td>-</td>
<td>1.08 x 10^{-10}</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>6a</td>
<td>0.0016</td>
<td>0.0236</td>
<td>0.0206</td>
<td>6.1528</td>
<td>2.4477</td>
<td></td>
</tr>
<tr>
<td>6b</td>
<td>0.0059</td>
<td>0.0012</td>
<td>2.49 x 10^{-5}</td>
<td>3.4722</td>
<td>1.5042</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>0.5327</td>
<td>0.0071</td>
<td>0.2189</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

(5) Step response of the irrational order TF:

\[ g_{in}(s) = \frac{2s^{\sqrt{3}} + 1}{s^{\sqrt{3}} + 3s^{\sqrt{2}} + 1}. \]

The accuracy is quantified by the conventional integral absolute error (IAE) criteria, \( S = \int_0^T |e(t)|dt \). All comparisons have been kept as fair as possible. The numerical values of the time domain analytical solution using Matlab built-in functions are assumed to be accurate and are adopted as the baseline. The computational errors when \( Ts = 0.05 \) are summarised in Table 2, where the row indices represent the methods numbered in Table 1, and the column indices represent the test problems respectively. Besides, 'M' denotes the Matlab built-in TF and '-' means the underlying method is not applicable for the test problem. Two sample plots of the step responses of problems 1 and 5 are shown in Figures 6 and 7. For problems 4 and 5, since analytical solution is hard to obtain, all methods are compared to the values computed by fotf.

For the impulse response of the half-order integrator, the first point is ignored for error calculation because it is infinity. Two graphic views of the comparison are shown in Figure 8 a and 8 b, with \( Ts = 0.01 \) and \( Ts = 0.1 \), respectively.

As stated in Chen (2008b), irid_fod() uses finite dimensional (z) TF as the approximation method. Hence, the order of the (z) TF has impact on the approximation accuracy. The error listed in Table 2 is based on the 10th order approximation. An illustrative plot is shown in Figure 11. The sampling time also has impact on its accuracy. The anti-intuitive fact is that relatively greater \( Ts \) gives higher accuracy. A heat map of the error on the field of \( Ts = 0.01 \) : 0.001 : 0.1 and order = 3 : 30 is plotted in Figure 12. At some particular high orders, 'rank deficiency' would occur during the call of prony() inside irid_fod(). Users can choose appropriate orders according to their specific accuracy requirement.

The analytical expression of M–L function is a summation of infinite terms. Hence, it is not surprising to see the numerical computation-induced error in the results.

### 3.2 Comparison II

fderiv(), glfdiff(), fourier_diffint() and FIT are integration/differentiation tools for functions. For this group of...
tools, the following two problems are designed to compare the performance:

1. Half-order derivative of the function \( y(t) = 3t \) on the interval of \([0, 5]\), whose analytical solution is

\[
0D_t^{0.5} y = \frac{3\Gamma(2)}{\Gamma(1.5)} \sqrt{t}.
\] (9)

2. 0.75-order integration of the function \( y(t) = \sqrt{t} \), whose analytical solution is

\[
0D_t^{-0.75} y = \frac{\Gamma(1.5)}{\Gamma(2.25)} t^{1.25}.
\] (10)

The time steps are all set to 0.01 sec. It can be seen that \texttt{fourier\_diffint()} performs not as well as other methods although a big number of Fourier and Gaussian coefficients have been assigned (default values are 260 and 520 for identity polynomial). Its performance on a third-order polynomial is better. The results are plotted in Figures 9 and 10. Quantitative comparison including computational error and averaged elapsed time (for 20 runs each) are listed in Table 3, for the above two problems respectively.

### 3.3 Comparison III

Although the simulation of FO pseudo-SS models can be achieved indirectly, some toolboxes do provide the direct simulation capability, such as the CRONE toolbox and FSST. Since the function \texttt{frac\_ss} in CRONE toolbox only adopts the input of commensurate order systems, for comparison purposes, the following commensurate order pseudo-SS model is selected:

\[
\begin{bmatrix}
x_1 \\
x_2
\end{bmatrix}
(0.7) =
\begin{bmatrix}
0 & 1 \\
-0.1 & -0.2
\end{bmatrix}
\begin{bmatrix}
x_1 \\
x_2
\end{bmatrix}
+ \begin{bmatrix}
0 \\
1
\end{bmatrix} u
\]

\[ y = \begin{bmatrix}
0.1 & 0.3
\end{bmatrix}
\begin{bmatrix}
x_1 \\
x_2
\end{bmatrix}. \] (11)

To involve more tools into comparison, the FO integrator blocks in the FOTF and Ninteger toolboxes are used to represent the above fractional differential equations in Simulink, as shown in Figure 13. The comparison of the unit step responses computed by the four toolboxes is plotted in Figure 14, from which it can be seen that the result obtained using FSST (1 sec for step size) has bigger difference from the others. However, since analytical...
solution is not easy to obtain, it is insufficient to claim which method gives the highest accuracy. Hence, quantitative comparison is not provided. As an alternative, users can transform the above FO SS model to an FO transfer function model, assuming zero initial conditions,

\[
G(s) = C(s^{\alpha}I - A)^{-1}B = \frac{3s^{0.7} + 1}{10s^{1.4} + 2s^{0.7} + 1}. \tag{12}
\]
Figure 9. Comparison of half derivative of function $y(t) = 3t$, using fderiv(), glfdiff(), fourier_diffint() and FIT, respectively.

Figure 10. Comparison of 0.75th order integration of function $y(t) = \sqrt{t}$, using analytical solution, fderiv(), glfdiff(), fourier_diffint() and FIT, respectively.
Thus, the NILT scripts can be used to compute the numerical solution, which has relatively higher reliability according to the authors’ observation.

### 4. Comments for selection

A tricky part for the simulation of FO systems is that even if the system is broken down to the bottom layer, i.e. the analytical solution, it usually still involves the computation of M–L functions, which still needs to rely on the numerical tools or scripts. From the comparison, it can be seen that in the category of integrating/differentiating a function, glfdiff and FIT outperform other tools in terms of accuracy; in the category of control system simulation, NILT always provides higher accuracy. However, other toolboxes have some advantages, for example, ninтеger and CRONE toolbox provide integrator blocks in Simulink, which makes the simulation of nonlinear systems possible.

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**Figure 11.** The $T_s$ and order impact on $\text{irid}_\text{fod}()$.  

**Figure 12.** The heat map of approximation error of $\text{irid}_\text{fod}()$ versus the order and sampling time.
Figure 13. The Simulink block diagrams for simulating the FO pseudo-state space model in Equation (11).

Figure 14. Comparison of the simulation results of the FO SS model obtained with different toolboxes.
5. Conclusion

In this paper, a comprehensive review of the Matlab-based numerical tools for FC and FO controls is presented. Quantitative evaluation of the selected tools is conducted. The summarised description and numerical comparison are designated to serve as a reference and guidance for readers when selecting tools for specific applications.

Disclosure statement

No potential conflict of interest was reported by the authors.

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