

Cyber-physical Modeling and Control of Crowd of Pedestrians: A Review and New Framework

Kecai Cao, Yangquan Chen, Dan Stuart, and Dong Yue

Abstract—Recent advances in modeling and control of crowd of pedestrians are briefly surveyed in this paper. Possibilities of applying fractional calculus in the modeling of crowd of pedestrians have been shortly reviewed and discussed from different aspects such as descriptions of motion, interactions of long range and effects of memory. Control of the crowd of pedestrians have also been formulated using the framework of cyber-physical systems and been realized using networked Segways with onboard emergency response personnels to regulate the velocity and flux of the crowd. Platform for verification of the theoretical results are also provided in this paper.

Index Terms—Model for crowd of pedestrians, control of crowd of pedestrians, cyber-physical systems, fractional order calculus.

I. INTRODUCTION

AS the most socially complex animals on the planet, research related to crowd of pedestrians has received a lot of attention in recent years. A lot of work has been conducted from perspectives of behavior, psychology, cognition and network to analyze problems related to particles, vehicles, robots, animal and even human beings (See [1–5]). Some manuscripts have been published in recent years concerning the modeling and control problem of crowd of pedestrians such as [6–15].

On the other side, catastrophic events occurring around the world have demonstrated the need to re-analyze and re-examine current evacuation policies and procedures for crowd of pedestrians. The dynamic and uncertain nature of disasters has required the need of changing backup contingency plans according to evacuation needs. The first problem that confronted in the research of crowd of pedestrians is how to obtain a satisfactory model to characterize the complex

nature of this kind of dynamic systems. There are a lot of characteristics which should be considered in the modeling and control problems such as self-organization, following leaders, common motives for action, psychological unity, emotional intensity, level of violence as shown in [16]. Due to features mentioned above, modeling and control of crowd of pedestrians are challenging tasks as shown in recent work of [17–22].

Recent studies on modeling and control of crowd of pedestrians have been firstly reviewed in this paper in three different scales. Then necessities of introducing fractional calculus in the modeling and control of crowd of pedestrians have been discussed in detail and networked Segways with on-board emergency response personnels have been employed in the evacuation control of crowd of pedestrians. Framework based on cyber-physical systems have been formulated in the end for studying the modeling and control problem of crowd of pedestrians. Main contributions of this paper lie in that the tool of fractional order calculus have been introduced in the modeling and control of crowd of pedestrians where some phenomena observed from realities are impossible or not easy to be considered just in the framework of integer order calculus. The aim of this paper is to provide an alternative way for studying the complex crowd of pedestrians which is much more close to reality.

The rest of the paper is organized as follows. Model for crowd of pedestrians are firstly reviewed in three different scales in Section II respectively; Then recent advances in control of crowd of pedestrians are discussed in micro-scale and macro-scale in Section III; Our framework for studying the evacuation problem of crowd of pedestrians are shown in Section IV based upon calculus of fractional order. Validation of obtained theoretical results are also included in this Section; Conclusions of this paper are given in Section V.

II. MODELING OF CROWD OF PEDESTRIANS

A lot of modeling methods have been proposed recently. Most of these modeling methods are based on inspirations that the crowd of pedestrians can be treated as moving particles, smoothing fluids, or granular flows in different scales. Modeling methodologies are firstly reviewed in micro-scale, macro-scale and meso-scale, respectively. Then necessity of introducing fractional order calculus in the modeling of crowd of pedestrians are discussed and some recent advances of modeling using fractional order calculus are also included in this section.

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Kecai Cao and Dong Yue are with the College of Automation, Nanjing University of Posts and Telecommunications, Nanjing 210023, China (e-mail: caokecai@gmail.com; medongy@vip.163.com).

Yangquan Chen is with Mechatronics Embedded Systems and Automation Laboratory, School of Engineering, University of California, Merced, CA 95343, USA (e-mail: ychen53@ucmerced.edu).

Dan Stuart is with the Department of Electrical and Computer Engineering, Utah State University, Logan, UT 84322, USA (e-mail: idahoestein@gmail.com).

A. Micro-scale—Ordinary Differential Equation (ODE)

When the density of pedestrians is low, each pedestrian can move freely and interactions among pedestrians can be modeled using the framework of social forces. A lot of research has also been conducted using the Newton's laws as shown in the following microscopic model

$$m_i \frac{dv_i}{dt} = f_i^S + \sum_{j=1}^n f_{ij}^N + \sum f_k^W, \quad (1)$$

where f_i^S is the self-driven force towards some desired velocity, f_{ij}^N is the interaction between agent i and its neighbor j and f_k^W represents the interactions with environment such as walls or corridors.

Different f_{ij}^N and f_k^W have been constructed in the work of [23] for panic scenarios and it has been proved that neither internal forces nor external forces alone can perform well in the evacuation of crowd of pedestrians and the practical way is to consider them simultaneously in the micro-scale model (1); Similarly, it has been shown in [24] that not only external forces such as interactions with neighbours and environments but also some internal forces such as will force and personal information should be considered in the modeling process. But the fact is that a lot of work has been done from the view of multi-agent system where only external forces are considered. Interactions with external environment such as the spatial-temporal markings have been described as potential function and added into the right-side of (1) as done in [25]; Relationship between formation patterns, stability and different interactions have also been analyzed in [26] under external potential function to find whether a swarm will collapse or not. Some recent work such as [27–28] from the aspect of modeling and [29–30] from the aspect of control are also only focused on external interactions with neighbouring agents.

Most of the simulation results have been conducted in this scale as shown in [12] because the methods are simple and animations are realistic. In order to satisfy the requirements of high realism and real-time animation in the modeling and control in this scale, the idea of mapping desired behaviors to the stable solutions of classical nonlinear dynamic system such as Van der Pol oscillator or fix-point attractor has been introduced in [31] and [32] where one nonlinear transformation connecting pedestrian's periodic or non-periodic motion with structurally stable system has been firstly constructed and then real-time animation problem can be easily solved in low-dimensional space without losing details; Methods from cognitive science such as heuristic behavior have been employed to adjust the walking direction and speed in micro-scale in [1]; Global roadmap-based navigation method has been proposed in the homing or exploring problem as shown in [33]. Although these methods are simple and efficient, the prescription of all behaviors is not very easy for crowd of pedestrians of large numbers.

Remark 1. Comments on microscopic model:

1) Main advantages of the microscopic model lie in that heterogeneities of each pedestrian can be considered explicitly and simulation results obtained are of highly realistic. But the microscopic model is not a good choice if the number

of pedestrians are very high as some unnecessary interactions or effects have been included.

2) Most of the previous work has just treated each pedestrian as one physical particle and few works have been done under the consideration of pedestrian's memory or some other internal effects; Local interacting rules have received a lot of attentions in previous research while long range interacting rules are not so popular.

B. Macro-scale—Partial Differential Equation (PDE)

The motion of crowd of pedestrians show some striking analogies with the motion of fluids when the density goes high. Thus research of crowd of pedestrians in macro-scale has benefited a lot from the macro-scale research of traffic system where the well known LWR (Lighthill–Whitham and Richards) model (2) and PW (Payne–Whitham) model (3) have been proposed. It is well known that the traffic dynamic system in macro-scale as shown in [14] can be described by

$$\frac{\partial}{\partial t} \rho(t, x) + \frac{\partial}{\partial x} f(t, x) = 0, \quad (2)$$

and

$$\begin{aligned} \frac{\partial}{\partial t} \rho(t, x) + \frac{\partial}{\partial x} \rho(t, x) v(t, x) &= 0, \\ \frac{\partial}{\partial t} v(t, x) + v(t, x) \frac{\partial}{\partial x} v(t, x) &= \frac{V(\rho) - v}{\tau} - \frac{A(\rho)_x}{\rho} + \mu \frac{v_{xx}}{\rho}, \end{aligned} \quad (3)$$

where ρ denotes the density of crowd and $f(t, x)$ is the flux of crowd, $V(\rho)$ is equilibrium Speed, $\frac{V(\rho) - v}{\tau}$ is the relaxation term, $\frac{A(\rho)_x}{\rho}$ is the anticipation term and $\mu \frac{v_{xx}}{\rho}$ is the viscosity term. Relationship between flux and density has attracted a lot of interests and a lot of work has been done to generalize the LWR model and PW model.

Two versions of LWR model such as high-order LWR model and low-order LWR model have been shown in [34]. Compared with classical LWR models that only focus on transitions among equilibrium states and homogeneity, both non-equilibrium transitions and inhomogeneities have been accommodated in the work of [34] through constructing much more complex relationship between flux and density; Similarly, new relationship between speed and density has been used to derive Lighthill–Whitham model in panic scenarios in [35].

Also based on the fundamental conservation law of mass and momentum, macroscopic model for crowd pedestrians in two dimensional space, as below

$$\frac{\partial}{\partial t} \rho + \frac{\partial}{\partial x} (\rho u) + \frac{\partial}{\partial y} (\rho y) = 0 \quad (4)$$

has been constructed in [36] for different types of pedestrians with different walking habits. Macroscopic model in two dimensional space has been generalized in [37] with additional terms for anticipation and relaxation.

As interactions among pedestrians are assumed to be the same in macro-scale, it is not easy to incorporate the heterogeneities of each pedestrian. In order to characterize the crowd of pedestrians more precisely, models that can benefit from

both the micro-scale and macro-scale are much preferred. A modeling procedure based on the time-varying measures

$$\mu_t = \theta m_t + (1 - \theta)M_t \quad (5)$$

has been prescribed for the multi-scale characterization of crowd-pedestrian system where $m_t = \sum_{j=1}^N \delta P_j(t)$ and $dM_t(x) = \rho(t, x)dx$ are the microscopic and macroscopic mass respectively. Both topological interactions such as the Braess's paradox (obstacles may contribute to improve the flow of people in some situations) characterized in macro scale and anisotropic interactions such as the granular role of some pedestrians described in micro-scale can also be considered in this framework through the time-varying measure (5); Similar results that are composed of agent-based microscopic model and flow-based macroscopic model have been shown in [38] and [39] where the accuracy of microscopic model and the efficiency of macroscopic model have been combined together through initializing each other by techniques of aggregation and disaggregation.

Remark 2. Comments on macroscopic model:

1) Computation time have been greatly decreased as each pedestrian has been treated as physical particle and has same characteristics in macro-scale.

2) Main disadvantages of macroscopic models lie in that heterogeneities of pedestrians such as interactions or mobilities cannot be characterized or considered in detail in this scale. Obtained results using the macroscopic model just provide some references for the control of crowd of pedestrians.

C. Mescoscale—Integral Differential Equation (IDE)

After Boltzmann formalized the concepts of kinetic equations in the nineteenth century, it has been widely used in astrophysics, engineering, social science and even biology. Although the following basic kinetic-transport equation

$$\frac{\partial}{\partial t} f(t, x, \xi) + \xi \cdot \nabla_x f(t, x, \xi) = 0 \quad (6)$$

that describes the evolution of density $f(t, x, \xi)$ of particles passing through (t, x) with velocity ξ seems very simple, great success has been achieved in the applications of Boltzmann's kinetic equation and many generalizations of this equation have been made.

A lot of modeling results for crowd of pedestrians have been derived from the equation (6) since macro-scale variables and micro-scale variables have been combined with each other in this equation. Nonlinear integral-differential equations have also been used in [40] to describe the crowd of pedestrians with competitions and kinetic interactions as

$$\frac{\partial f_i}{\partial t}(t, u) = J_i[f](t, u) + \gamma_i(t, u),$$

where the $J_i[f](t, u)$ describes the evolution of density due to gain and loss of distribution function and $\gamma_i(t, u)$ describes the production and migration of the group; Mescoscopic models with binary interactions, averaged binary interactions and mean field interactions have been given in [41] as

$$\frac{\partial f}{\partial t} + \xi \frac{\partial f}{\partial x} + \frac{\partial(fF[f])}{\partial \xi} = Q(f, u), \quad (7)$$

where different $F[f]$ and $Q(f, u)$ are employed to describe these interactions. Conservation law of mass and momentum in macro-scale has been generalized in [42] where internal intentions and external interactions have been simultaneously considered in the following mescoscopic model

$$\begin{aligned} \frac{\partial}{\partial t} \rho_\mu + \frac{\partial}{\partial x} (\rho_\mu v_\mu) \\ = \int m_\mu q_\mu dv_\mu + \sum_\mu \left[\frac{m_\mu}{m_v} \rho_v \chi_\mu^{\mu v}(1) - \rho_\mu \chi_\mu^{\mu v}(1) \right], \end{aligned} \quad (8)$$

where ρ_μ is the density, the first term on the right is caused by pedestrians entering or leaving some interested areas and the second term describes the effects caused by internal intentions and external interactions. Equation (8) has been generalized to the following two dimensional mescoscopic equation

$$\begin{aligned} \partial_t \rho + [\partial_{x_1}(\rho v_1) + \partial_{x_2}(\rho v_2)] + [\partial_{v_1}(\rho A_1) + \partial_{v_2}(\rho A_2)] \\ = (\partial_t \rho)_{\text{event}}^+ - (\partial_t \rho)_{\text{event}}^- \end{aligned}$$

in [43] where $\partial_{x_1}(\rho v_1) + \partial_{x_2}(\rho v_2)$ means the changes of density due to convection, $\partial_{v_1}(\rho A_1) + \partial_{v_2}(\rho A_2)$ are the terms of acceleration and deceleration, $(\partial_t \rho)_{\text{event}}^+ - (\partial_t \rho)_{\text{event}}^-$ means the interaction of events.

Some other research have also been conducted from the aspect of micro-scale using the framework of meso kinetic theory. With the help of activity variables, heterogeneities of each pedestrian have been characterized in micro-scale in [44] and [45] using the statistical distribution of position and velocity; Enskog-like interactions and stochastic interactions have been considered in [46]; Nonlinear interactions instead of linear interactions have been applied in [47]; Both short and long range interactions have been reported in [44] contrary to previous modeling methods where only local interactions are assumed.

Remark 3. Comments on mescoscopic model:

1) As both information from micro-scale and information from macro-scale have been included in this framework, heterogeneities of pedestrians and different kinds of interactions can be included easily in the right hand side of (7); Another advantage is that efficiency of the mescoscopic model has been greatly improved compared with that of microscopic model.

2) One of the main disadvantages of mescoscopic model is that existence of analytic expressions for equilibrium is not guaranteed for obtained IDEs and another disadvantage of this framework is the technical difficulty when there are more than one microscopic variables that needed to be included in the above IDEs.

D. Fractional Model

Fractional Calculus has shown great potential in different applications such as particles in fluid, plasma physics, quantum optics and many others. Some phenomena such as self-similarity, non-stationary and spiky phenomena, non-Gaussian relaxation, short or long memory and long range interactions are all closely related to fractional calculus. The authors have noticed that previous research has mentioned or implied potential applications of fractional calculus in modeling, control and optimization of crowd of pedestrians in different aspects such as description of motion, description of interactions and

hysteresis phenomena. Recent advances in these aspects are shortly reviewed in the followings.

1) Fractional calculus in description of motion

Some applications of fractional calculus has been done for physical particles in macro scale. Based on the fractional derivative of order α in [48]

$$\frac{\partial^\alpha f(x, t)}{\partial t^\alpha} = \lim_{\Delta t \rightarrow 0} \frac{f(x, t + \Delta t) - f(x, t)}{\Delta t^\alpha}, \quad (9)$$

some different fractional kinetic equations (FKE) and their properties have been explained in [49] such as the FKE with desired direction, anisotropic FKE and nonlinear FKE; Then fractional kinetics of distributed-order has been proposed in [50] and it has been shown that the fractional model with distributed order can be effectively used to describe the accelerating and decelerating of sub-diffusion and super-diffusion. Based on these preliminary works, fractional model for crowd of pedestrians in the macro-scale has been proposed in [51] using the fractal derivatives of time and space where fractional order dynamics for one dimensional crowd can be described as

$$\frac{\partial}{\partial t^\alpha} \rho(t, x) + \frac{\partial}{\partial x^\beta} [\rho(t, x)v(t, x)] = 0, \quad (10)$$

where $\rho(t, x)$ and $v(t, x)$ are the density and velocity of crowd at position x and time t , α and β is the fractal order of time and space respectively. Similar results can also be found in [52] where $\alpha = \beta$ has been assumed. Considering that each pedestrian's decision making process plays an important role in his (her) next movement, the dynamic process of decision making has been described in [21] where coupling dynamic models composed of the fractional Fokker-Planck equation

$$\frac{\partial^\alpha P(u, t)}{\partial t^\alpha} = -\frac{\partial [f(u, d, t)P(u, t)]}{\partial u} + \Xi(P, u, t), \quad (11)$$

and the fractional master equation

$$\frac{\partial^\alpha u(x, y, t)}{\partial t^\alpha} = f(u, d, t) + g(u, d, t)\xi(t) + \eta(t) \quad (12)$$

have been constructed and $P(u, t)$ is the distribution function, $\Xi(P, u, t)$ is the additional terms due to variations in infinitesimal time increment, $u(x, y, t)$ defines the cost that each pedestrian should pay for his desired destinations, $f(u, d, t)$ is the nonlinear dependence on previous costs $u(x, y, t)$ and previous decisions $d(t)$. The forward dynamics (11) describes the evolution of distribution function with respect to time while the backward equation (12) describes the evolution of pedestrian's decision with respect to the inverse of time. In order to control and predict the evolution of crowd of pedestrians, optimal control of this complex system has been firstly reformulated in [53] based on the fractional master equations.

Concerning the fractional model in micro-scale, fractional Langevin equations have been given in [54] for human motion tracking while fractional models with fixed order and variable order have been proposed in [55] and [56] to describe motion of pedestrians.

2) Long range interactions of power law

Different formation patterns for swarming of fish can be generated through tuning the area of attraction and repulsion

as shown in [57]; Quantitatively characterizing of relationship between the area of repulsion and attraction have been analyzed in [58] for dynamic model of integer order. Thus even for dynamic models of integer order, phenomena of transiting from ordered state to disordered state have been observed in [59] under long range interactions. Transition from chaos to turbulence has been reported in [60] where fractional long range interactions of $\frac{1}{l^{1+\alpha}}$ (l is the distance between oscillators, α is the tuning parameter) has been imposed for nonlinear oscillators. Recently, transitions have also been declared for Boltzmann equations using local, non-local or configuration-dependent interactions in [61] where transition from purely diffusive regime to flocking patterns can be realized by modulating the range and strength of interactions. From which we can say that range of interaction and strength of interaction play an important role in study of system of large numbers.

Actually, the long range interactions are connected with fractional dynamics. Relationships between long range interactions of power law in micro-scale and fractional Euler-Lagrange equations in macro-scale have been discussed in [62] and it has been proved that there exists one fractional Euler-Lagrange equation in macro-scale if there are long range interactions of power law in micro-scale; From which we can say that the gap between micro-scale and macro-scale can be bridged together using long range interactions of power laws. As the long range interactions are so common in crowd of pedestrians, it is reasonable to describe the dynamics of crowd of pedestrians using fractional calculus.

3) Hysteresis phenomenon and collective memory

It has been shown in [57] that minor changes in individual's responses can lead to different collective behaviors such as alignment, swarm and torus where the collective memory has played an important role; Hysteresis phenomenon has been observed in [4] where nonlinear relationship between collective behaviors and range of interactions has been explicitly shown in Fig. 1 of [4].

According to the following definition of Hysteresis from Wikipedia: Hysteresis is the dependence of the output of a system not only on its current input, but also on its history of past inputs. In other words, the change of group's behavior not only depends on the current control input but also depends on the history of individual behavior and the shape of group; The hysteresis phenomena or effects of short memory are so common that they can be found in the acceleration, deceleration and equilibrium of traffic flows in [63] and individual's actions such as buying and selling in the stock market. For particles with long time memory, fractional Fokker-Planck equations have been derived in [64] based on the correlating functions of probability densities that is power law; A non-negative memory function $\eta(\cdot)$ has been introduced in [65] to generalize the LWR model using the form of convolution

$$\rho * \eta = \int_{R^2} \eta(x - \xi)\rho(t, \xi)d\xi,$$

where preferred path can be found and regions of high density can be avoided.

As calculus of integer order can be considered as special case of fractional order calculus, each pedestrian can be characterized much closer to reality using the framework of

fractional order calculus. Many effects such as individual's memory, long-range interactions which are difficult to characterize using calculus of integer order can be compensated using calculus of fractional order.

Remark 4. Compared with dynamic models of integer order in previous research, some advantages and disadvantages of dynamic model of fractional order are listed in the followings:

1) In time domain: Only normal diffusive process has been considered in previous study in macro scale due to the limitation of calculus of integer order. Besides the normal diffusive process, sub-diffusive process and super-diffusive process can be added to describe the crowd in macro scale using fractional calculus in time domain.

2) In spatial domain: Dimension of space are only limited to 1, 2 or 3 in previous research while fractional dimension of space can be easily included using fractional order calculus for considering effects of environments.

3) Some other elements: As we have shown that some phenomenons such as self-similarity, non-stationary and spiky phenomenons, non-Gaussian relaxation, effects of memory, long-range interactions which are difficult to characterize or explain using calculus of integer order can be compensated using calculus of fractional order.

The authors do not want to prove that previous models of integer order are not effective anymore in reality and we just want show that fractional calculus as generalization of calculus of integer order has provided us much more freedom in characterizing and understanding of the complexities of crowd of pedestrians. The authors admit that there are a lot of challenging work left to do for the obtained model of fractional order such as controller design, stability analysis and performance evaluation. Most of them are not so easy to solve now.

III. CONTROL OF CROWD OF PEDESTRIANS

A. Control of Macroscopic Model

In the evacuation of crowd-pedestrian system, moving direction and moving speed are of great importance to guarantee the smooth movement of crowds. Some previous research has chosen $v(t, x)$ in (3) as the control input and distributed state feedback controllers have been constructed in [66] and [67] for the macro-scale model in one and two dimensional space. Main idea of [66] and [67] is using finite dimensional system to approximate infinite dimensional system; Then theory of nonlinear control can be used for design of feedback controllers for this approximating system. Main problems of this framework is that the original system may be still unstable even if the obtained controllers work very well on the approximating system. Different from the framework of approximation, the control and stability problem of the macroscopic model (3) has been formulated directly in the distributed control of partial differential equations in [68] and [69]. Previous controllers have been generalized to diffusion, advective and advective-diffusion controllers. Comparisons of these controllers have been done in [70] and it has been shown that much more serious problems may arise if only diffusion feedback controller is adopted as there is no preferred direction for the pedestrians to follow in evacuation process; But faster

evacuation can be realized for LWR model under diffusion-advective-state feedback controllers since the direction of evacuation is provided.

In order to avoid undesirable congestion and blockages in the evacuation of pedestrians, optimal feedback controllers have been proposed in [71] and [72] by instructing pedestrians to adjust their velocities. Although optimal results have been obtained in [71] and [72], applications of these results are only limited the deterministic case. Robust control of crowd-pedestrian system has interested a lot of people in recent years. For example, Lyapunov techniques have been firstly utilized in [73] to construct velocity controllers for automated highway systems where not only position and time but also effects of lanes, drivers and destinations have been included in the macroscopic PDE model; Previous diffusion-state feedback controllers have been generalized using methods of Lyapunov redesign in [74] to deal with disturbances in control input; Robust controllers based on sliding model control have been constructed in [75] for the control of crowd of pedestrians with matched and unmatched uncertainties that are caused by external disturbance and parametric variations.

Remark 5. Similar to the modeling methods for macroscopic model, heterogeneities of controllers have also been neglected in this scale.

B. Control of Microscopic Model

1) Control using leaders with naive followers: Based on inspirations from swarming of ants, schooling of fish and flocking of birds, how to formulate or control the collective behaviors in micro-scale has received a lot of attentions from the community of control, computation and computer. One of the fascinating phenomenon is that this kind of collective behaviors can be generated from simple or basic interacting rules and control of this kind of collective behaviors can be realized through controlling just a small part of the group.

Determining of moving direction based on neighbor's direction have been firstly proposed in [76] for average consensus problem of each agent. Work of [5] and [4] has explicitly shown the quantitative relationship between individual's local interacting rules and group's collective behaviors; Three experiments have been done in [77] to show the effects of number and topology of informed agents on the consensus problem and it has been proved using experiments that only a small number of informed individuals are enough for driving a large number of uninformed individuals to reach consensus without explicit communications; Further results concerning consensus under uncertain or conflicting information have been considered in [78]. For evacuation problem of crowd pedestrian system, result of [79] has shown that the evacuation rate can be greatly improved by adding some leaders with global knowledge and it is always good for the evacuation process if we place some leaders in the immediate proximate of crowds and some leaders scattered around the environment.

Remark 6. Similar to the pinning control of complex network with large number of nodes, how many nodes are needed to control the network and which one should be chosen as the pinning node are interesting problems. For crowds, how many leaders and what kind of leaders are needed in control of crowd of pedestrians are worthy of further considerations.

Phenomena such as self-similar structure, heavy-tailed workloads and long-range dependence effects that have been proposed in [80–81] for study of network are related to calculus of fractional order. The authors think that not only topology and connectivity should be considered but also the dynamic evolutions of the network itself should be considered especially when confronted with stochastic network of large numbers. Thus control in the framework of fractional order calculus is very interesting although there are many challenges.

2) Control without leaders:

a) Decentralized framework

Using the methodology of decomposition, control problems for complex system can be transformed into control problems for simpler subsystems. A lot of decentralized controllers have been obtained using the framework of decomposition. Decentralized controllers for large number of stochastic agents have been given in [82] where not only the evolution in time scale but also the evolution in “space” scale (where $N \rightarrow \infty$, N is the number of agents) are considered; Decentralized controllers have also been constructed using the framework of decomposition in [83] where complex LQG games problem has been reduced to two-player games problem.

Advantages of the decentralized framework are that the burden of computation has been greatly reduced and obtained results can be easily extended to systems with large numbers. However, neighbor’s information is not used in the decentralized framework, where it is much preferred to use distributed controllers.

b) Distributed framework

Some other distributed feedback controllers for macroscopic model have been reviewed in previous sections or can be found in [67–69]. Some consensus protocols of multi-agent system also belong to this framework which we will not state one by one and interested readers can find some recent advances in the review papers of [84–85] or references in them.

One big challenge for distributed control lies in the burden of computation if the number of pedestrians goes to infinity. Some previous research has adopted the mean field methods to estimate the influence of neighboring agents to relieve the burden of computation. Mean field methods have been used in [86] for controller design for leader-follower agents of linear stochastic dynamics; Distributed controllers for agents with one major/leader agent have been given in [87] with Markov jump parameters in controlled system and random parameters in objective functions where mean field method has been utilized to estimate effects from neighboring pedestrians and the leader; Mean field LQG controllers have been proposed in [88] for socially optimal control problem whose cost functions are coupled with each other; Mean field control strategies have been given in [89] for the consensus problem of multi-stochastic agents based on the assumption that information of initial state distribution is available to each agent; Similar results can also be found in [90] in the control of stochastic multi-agent systems where statistical information of neighboring agents are obtained using mean field methods.

In order to study the true interacting rules among agents, game theory has also been combined with mean field method in control of agents of large numbers which we will call it mean field games in the followings. Based on mean field

games introduced in [91], dynamics of human’s decision making process has been considered in [92] where mean field game theory has been employed in the backward Hamilton-Jacobi-Bellman (HJB) equation and forward Fokker-Plank (FP) equation; The forward-backward equations have also been adopted in [93] to describe the aversion and congestion phenomena in macroscopic scale where each pedestrian’s ability of anticipation has been characterized using the backward equation.

Advantages of the mean field method is that only information of initial distributions is needed in the design of controllers and the popular topology condition such as connected graph or jointly-connected graph is no longer needed; Additional benefit is that communications among neighboring individuals are no longer needed and obtained results can also be easily extended to systems with large numbers.

Remark 7. Research of crowd of pedestrians has benefit a lot from the research of traffic control system where limiting speed has served as an effective way to guarantee the normal flow of vehicles. Information of density has been used in specifying limitation of speeds in different area in [14]. Roadmap-based planning has been used in [28] for evacuation of large number of agents where interactions and coordination among agents are of great importance in realization of the evacuation.

Speed, density and even interactions are main concernings that have received a lot of attention from the view of multi agent system. Besides the above mentioned, it is the authors’ belief that effects of memory, environment or even building structure should be considered in modeling and control of crowd of pedestrians where fractional calculus will play an important rule.

IV. OUR FRAMEWORK

A fractional framework for modeling and controlling of crowd of pedestrians has been proposed in this paper. The proposed research represents the coupling of the “PHYSICAL PART” (mass pedestrian evacuation management of crowds) with the “CYBER PART” (modeling and prediction of crowds). And transferring of information between these two parts has been implemented through networked Segways, with on-board emergency response personnels, and facility sensing and actuation.

In cyber part, ordinary differential equations, partial differential equations and integral differential equations have been employed to describe the crowd of pedestrians using calculus of fractional order in micro-scale, macro-scale and meso-scale, respectively. Interesting information such as speed, density, flux and even formation patterns are obtained through CCTV. Segways, Cellphone and some other sensors can be used in calculating the obtained models, controlling the crowds and even predicting the stampede that is going to occur. Closed loop system that composed by the cyber part and physical part in Fig. 1 has shown the flow of information and major points of each part.

A. Fractional Modeling of Crowd of Pedestrians

1) In micro-scale level with low density of crowds, behavior of each pedestrian can be described by the ordinary differential

equations based on some widely used methods such as social force model (1) or agent based model where parameters of systems can be further calibrated using empirical or observed data. Main reason of using these classical models is due to the convenience of introducing heterogeneities in the description of pedestrians in micro-scale.

2) In macro-scale level, the density of crowds is so high that the motion of all pedestrians can be modeled as continuum fluids where partial differential equations can be derived from the conservation law of mass or momentum on interested area. Main differences between crowd of pedestrians and smoothing fluids are that different motion patterns such as crossing or intersecting is allowed in crowd-pedestrian system due to the freedom of choosing different routes. The authors believe that both the time scale and the spatial scale should be considered in the modeling of crowd of pedestrians and preliminary work of fractional macroscopic model (10) has been shown in [51] where the fractional order associated with time and the fractional order associated with the fractal structure have been included.

3) In meso-scale level with medium density, the dynamics of evacuation or egress process is similar to the diffusion process of active particles in many aspects such as the porous or granular pattern in the smoothing fluids. Fractional convection-diffusion equations are useful tools to model the crowd pedestrians in this case due to the phenomenon of porosity observed in this scale; Heterogeneous pedestrians can be modeled using mobile potential fields indexed by activity variables as shown in Fig.2 which can be included in the convection-diffusion equations to guarantee the existence of heterogeneities on this level; Interactions between microscopic model and macroscopic model can also be realized through mean field games to increase the validation of obtained models and relieve the burden of computation. Reasons of using the above modeling framework as follows.

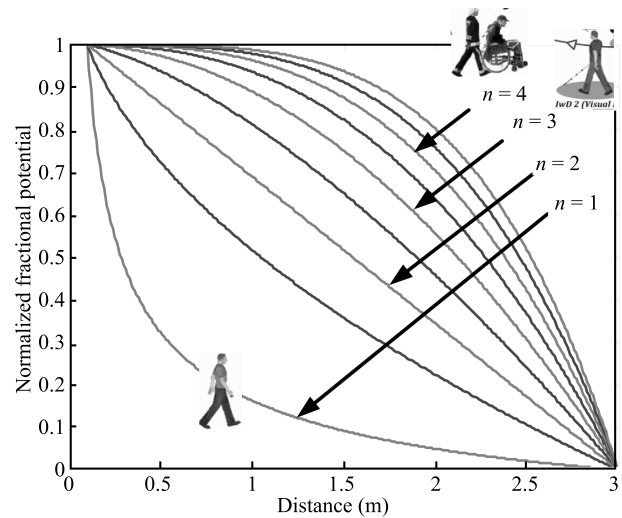


Fig. 2. Normalized effect of activity variables on potential fields (PFS).

a) As there is no general method prescribed for modeling crowd pedestrians for all kinds of scenarios, it is much reasonable to choose the most appropriate model for different problems. Since the microscopic model is powerful to describe the heterogeneities of pedestrians, we choose to use the microscopic model when the density is low; With the increasing of density, granular flows with porosity phenomenon can be observed in large crowds. This kind of heterogeneities are modeled using different mobile potential fields which can be easily added into the right hand side of (7) to describe the effects from micro-scale; As density grows, the porosity or granular phenomenon of smoothing fluids disappears and fractional dynamic model can also be obtained by using generalized conservation law of mass or momentum as deduced in previous section.

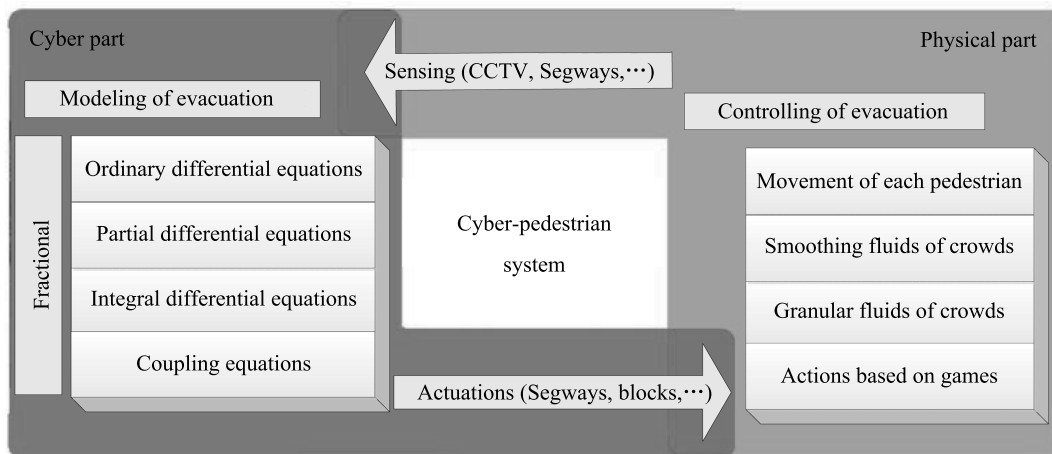


Fig. 1. A cyber physical framework for describing the coupling of model, control, and management.

b) The macroscopic model is responsible for generating a homogenizing effect with desirable smoothness in macro-scale, and the microscopic model is responsible for characterizing heterogeneities and interactions from the macro-scale. For mesoscopic model, not only heterogeneities and

porous patterns of crowds can be explicitly characterized but also interactions between micro-scale and macro-scale can be included in the obtained integral differential equations.

c) Although we describe the modeling of crowds in different scales according to their densities, these obtained models are

not independent of each other as shown in Fig. 3. The macro-scale variables such as density or flow comes from aggregation of micro-scale data of each pedestrian and the motion of each pedestrian is also affected or constrained by the people around him.

B. Fractional Control of Crowd of Pedestrians

1) In microscopic level, the control of each pedestrian is focused on analyzing the relationship between different interactions and collective patterns where not only interactions based on topology but also interactions based on short range and long range interactions will be considered. Dynamics of crowd-pedestrian system under long range interactions are closely related to fractional calculus and have an important effect on generation of different collective patterns.

This phenomenon observed from self-organized groups under different interactions can be used to control the collective motion of crowds where mobile Segways have been added into the group to tune range of interactions among pedestrians to generate some desired collective patterns.

2) In macroscopic level, fractional controllers based on fractional convection, diffusion or both of them will be constructed for controlling of the smoothing fluids (10) for crowd of pedestrians with fractional time/spatial orders.

Due to the high densities in this scale, it is not easy to inject control agents into the fluids. In our framework, mobile Segways with emergency personnels will be dispatched to control the inflow and outflow of crowds from outside based on the theory of boundary control to guarantee the smoothing evacuation of high-density crowds without breakdowns.

3) In mesoscopic level, forward fractional convection-diffusion equations and backward fractional Hamilton-Jacobi-

Bellman (H-J-B) equations are used for modeling the crowd of pedestrians where the forward part describes the evolution of crowds and the backward equation describes the evolution of decision making process.

a) Movement of next step will be prescribed based on the probability distribution function as shown in backward part (12) of the fractional HJB equations.

b) Fractional order controllers based on fractional diffusions or fractional convections are adopted to realize the control or optimization of the forward part (11) of the fractional HJB equations.

c) Mean field games will play an important role in estimating neighbor’s influence and reducing burden of communication and computation.

d) Mobile Segways with global instructions can be used to guide or drive the crowds through broadcasting or changing the structure of space to control the velocity or flow of the crowds.

Reasons of using the above control framework as follows.

1) For crowds with low density, mobile Segways can be easily added into the crowds and control of the crowd-pedestrian system can be realized through interactions with just a few of them to realize control of each pedestrian.

2) For crowds of high density, it is not wise to inject mobile Segways into the crowds again due to the high density. In this case, movements of pedestrians are firmly constrained by the people around them. What we try do is using more delicate controllers such as boundary control to tune the inflow and outflow of people through Segways to realize the smoothing fluids without breakdowns.

3) For crowds with medium density, there are some concerns

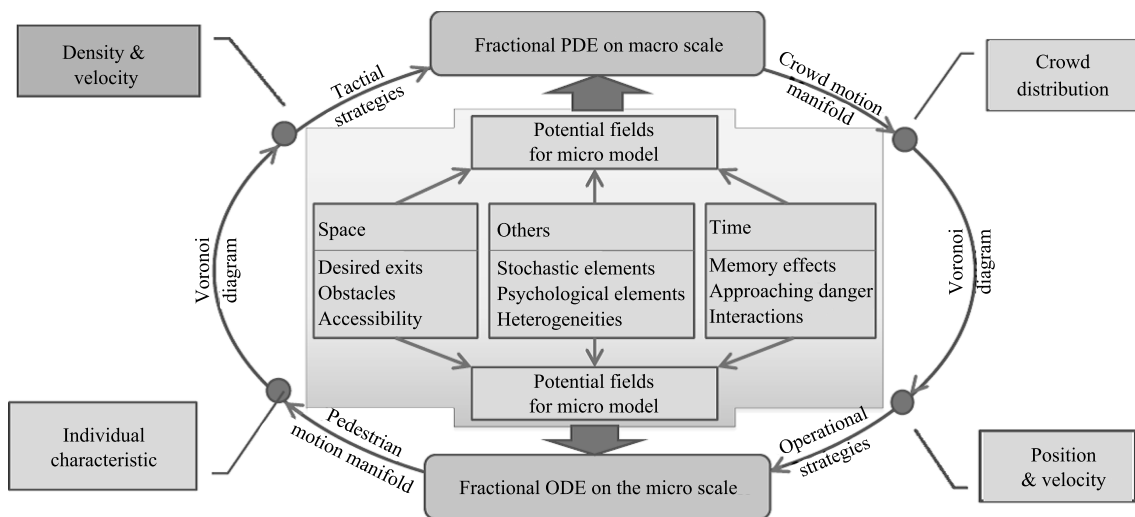


Fig. 3. Fractional model in micro-scale and macro-scale.

that are not easy to be solved in this scale. Besides heterogeneities and computation burdens, the interactions between microscopic model and macroscopic model are the main challenges. In other words, balancing between realization of each pedestrian’s target and desired evolution of the entire group can be manipulated simultaneously in the framework of

dynamic game theory based on mean field.

C. Platform for Verification

Since real verifications of the theoretical results for evacuation of crowd of pedestrians are not easy to conduct, a lot of simulation results have been done in previous research.

There are a lot of software for the simulation research such as VISSIM, EXODUS, Simulex, PSCrowd, PEDSIM, and VISWALK, et al.

1) VISSIM is one powerful tool available for simulating multi-modal traffic flows, including cars, buses, motorcycles, bicycles and pedestrians and it is also a useful tool for the evaluation of various alternatives based on transportation engineering. In VISSIM, movement of each pedestrian is modeled by the social force model^[94] where a total force resulting from the social, psychological, and physical forces has been imposed. The forces that are influencing pedestrian's motion are caused by his/her intentions to reach his destination as well as by other pedestrians and obstacles. Thereby the other pedestrians can have both attractive and a repulsive influences.

2) Due to the requirements of data processing and computations in modeling and control of crowd of pedestrians, the simulation research is much preferred to be realized using Matlab. The authors of this paper try to study the modeling and control problem using the platform of DIFF-MAS that has been developed in [95] for simulating the measurements and control of diffusion processes using Matlab script and Simulink. Optimal placements of sensors and actuators, and structures for understanding distributed networked actuation and sensing have been done on this platform. Recently, this platform has been updated for measuring and control of diffusive process of fractional order which is useful for modeling and control crowds of pedestrians using calculus of fractional order.

Remark 8. Considering unexpected or dangerous events in real-life experiment, only initial theoretical results and some simple simulation results have been done by the authors under the framework of fractional order modeling and control of crowd of pedestrians. There are lots of work left to show the effectiveness of this framework in future especially from the aspect of control.

V. CONCLUSION

Recent advances in modeling and control of crowd of pedestrians have been reviewed in the framework of cyber-physical systems. In the first part, not only modeling methods in micro-scale, macro-scale and meso-scale are reviewed but also possibilities of applying fractional calculus are discussed; Due to challenges in the control problem, only controllers in macro-scale and micro-scale have been surveyed such as state feedback controllers, distributed controllers and robust controllers. Initial considerations about control of crowd of pedestrians are discussed in the framework of cyber-physical systems. Long range interactions are used to generate self-organized collective behavior in the micro-scale; Fractional diffusion-convection controllers will be constructed for crowd fluids with fractal time-spatial orders in the macro-scale; Fractional mean field games theory will be adopted in modeling pedestrian's decision making process and control the fluids of crowd with porosity in meso-scale. Multiple mobile Segways with onboard emergency response personnels are employed to realize the control of velocity and flux of crowd of pedestrians in different scenarios.

A lot of problems are still open and needing cooperation of multi-disciplines such as environmental design, engineering, and transportation. Potential topics such as modeling the crowd of pedestrians with consideration of stochastic noise, psychological effects and constructing controllers under requirements of scalability and robustness are both interesting topics and worthy of much efforts in future. Another interesting and important topic is concerning the security problem in the crowd of pedestrians where some recent results on security of consensus problem such as [96–98] are beneficial to solve of this problem.

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as nonholonomic robots, underactuated rigid systems. Corresponding author of this paper.



UAV based cooperative multi-spectral “personal remote sensing” and applications, applied fractional calculus in controls, signal processing and energy informatics; distributed measurement and distributed control of distributed parameter systems using mobile actuator and sensor networks.



fractional calculus and fractional order potential fields in the modeling of various interaction aspects of individuals with disabilities.



Control Science and Applications, and the International Journal of Systems, Control and Communications. Up to now, he has published more than 100 papers in international journals, domestic journals, and international conferences. His research interests include analysis and synthesis of networked control systems, multi-agent systems, interconnected systems and its application to power systems, and internet of things.

Kecai Cao received his Ph.D. degree from Southeast University, China in 2007 and his bachelor degree from University of Electronic Science and Technology of China, China in 2003. His research interests include true interaction rules among natural systems such as flocking of birds, swarming of fishes and grouping of human beings and some man-made systems such as multiple vehicles on the ground or in the air. He is currently engaged in fractional order modeling and control of crowd pedestrian systems, distributed control of multiple dynamic systems such

Yangquan Chen received his Ph.D. degree in advanced control and instrumentation from Nanyang Technological University, Singapore, in 1998. Dr. Chen was on the Faculty of Electrical and Computer Engineering at Utah State University before he joined the School of Engineering, University of California, Merced in 2012 where he teaches “Mechatronics” for juniors and “Fractional Order Mechanics” for graduates. His research interests include mechatronics for sustainability, cognitive process control and hybrid lighting control, multi-

Daniel Stuart Ph.D. candidate at Utah State University, Logan, Utah (2015). He has been a graduate researcher and instructor there covering courses in mobile robotics as well as research in controls and crowd dynamics. He has a background in multiagent consensus, collective motion, and pedestrian tracking systems. His research interests include study of individuals with disabilities and how they interact within a crowd, gaining understanding on how different groups of disabilities differ in their impact and ability in emergency evacuation, and applications of

Dong Yue received his Ph.D. degree from South China University of Technology, Guangzhou, China, in 1995. He is currently a professor at Nanjing University of Posts and Telecommunications and also a Changjiang Professor with the Department of Control Science and Engineering, Huazhong University of Science and Technology, Wuhan, China. He is currently an associate editor of the IEEE Control Systems Society Conference Editorial Board and also an associate editor of the International Journal of Systems Science, the Journal of Mathematical